

**UNITED STATES
PATENT AND TRADEMARK OFFICE**



Patent Public Advisory Committee Quarterly Meeting

Patent Operations Update

Andrew Faile

Deputy Commissioner for Patent Operations

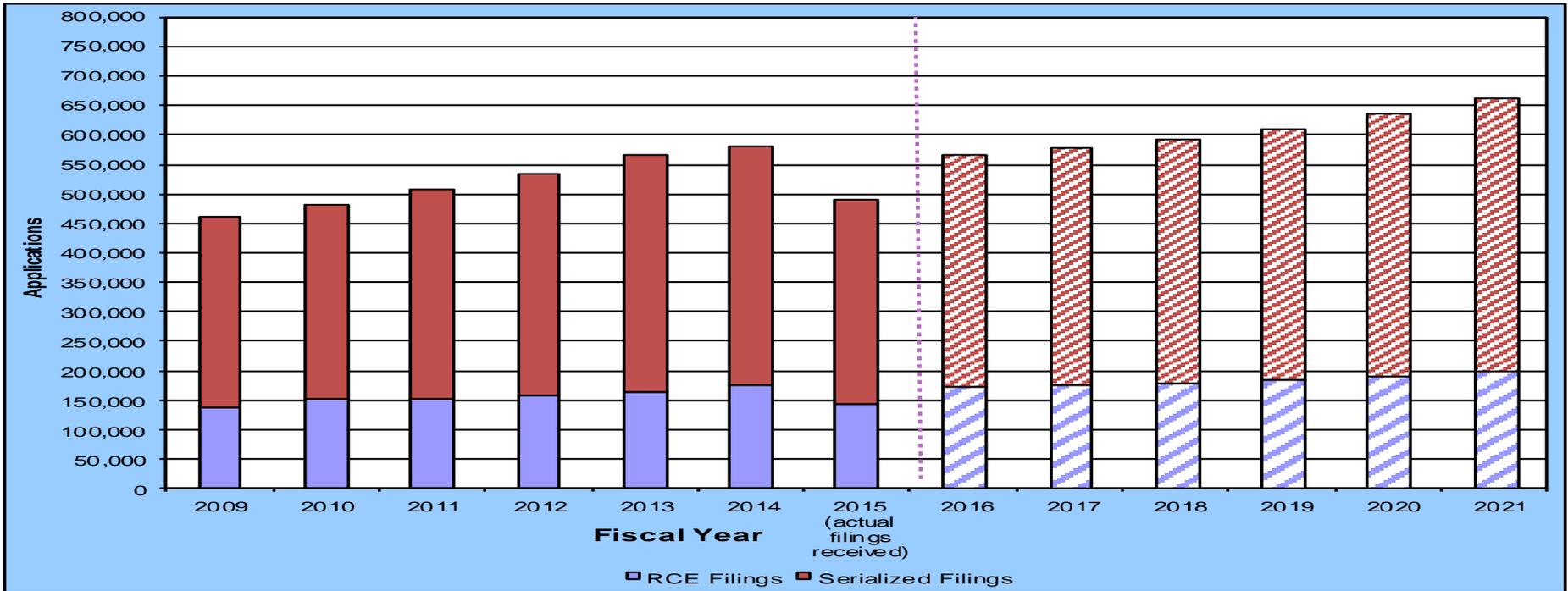
August 20, 2015

UNITED STATES
PATENT AND TRADEMARK OFFICE



Total Serialized and RCE Filings

FY 2002 – FY 2015 (through August 12)



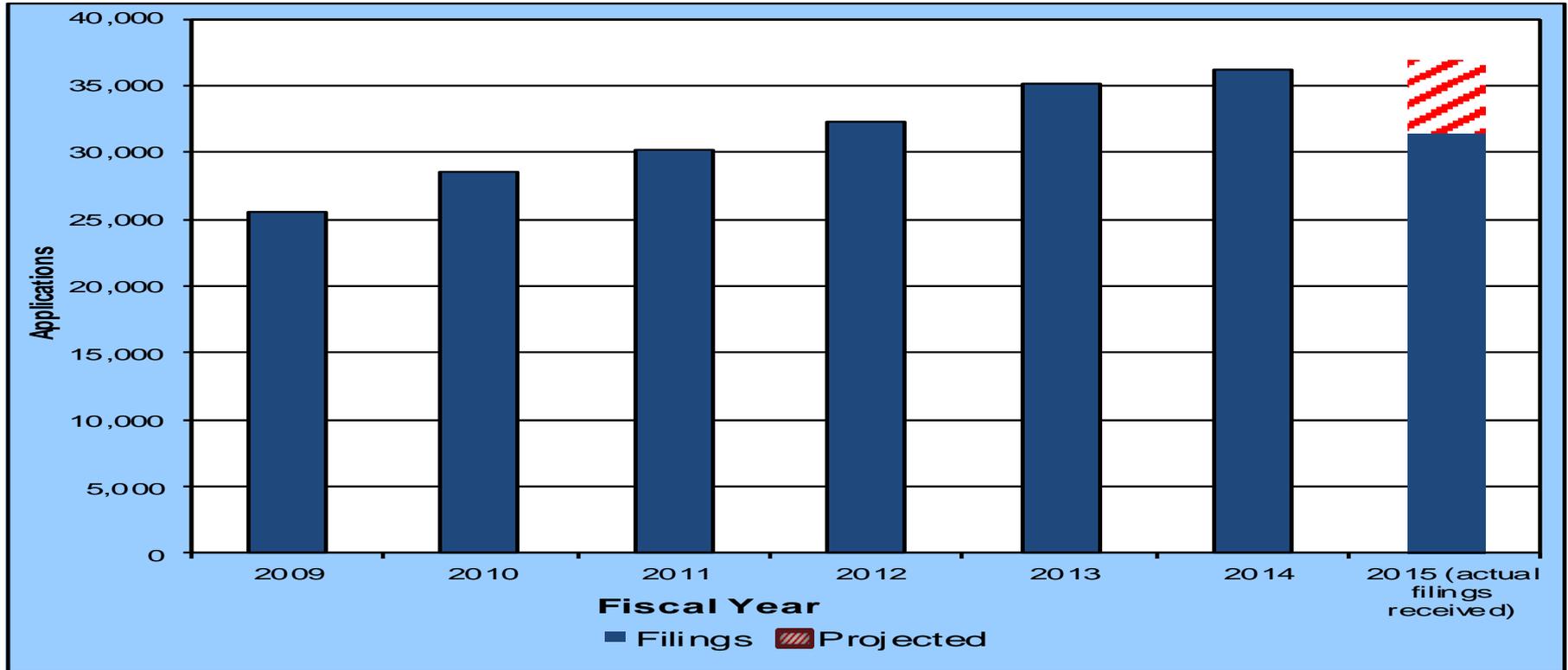
491,245 filings received as of August 12, 2015.

FY 2015 total UPR filing growth rate is projected to be -1.8% over FY 2014.

FY 2014 total UPR filing growth rate was 2.8% (582,203 applications).

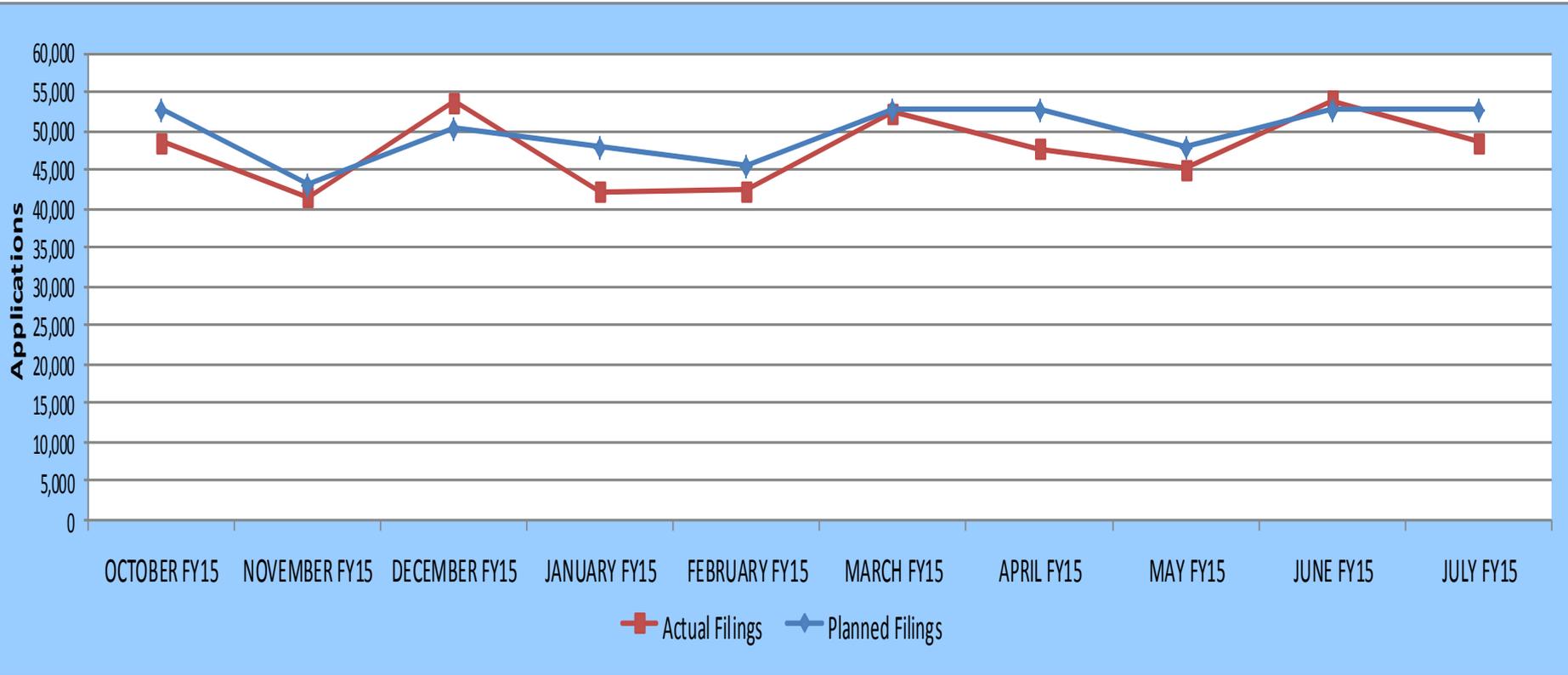
Design Filings

FY 2009 – FY 2015 (through August 12)

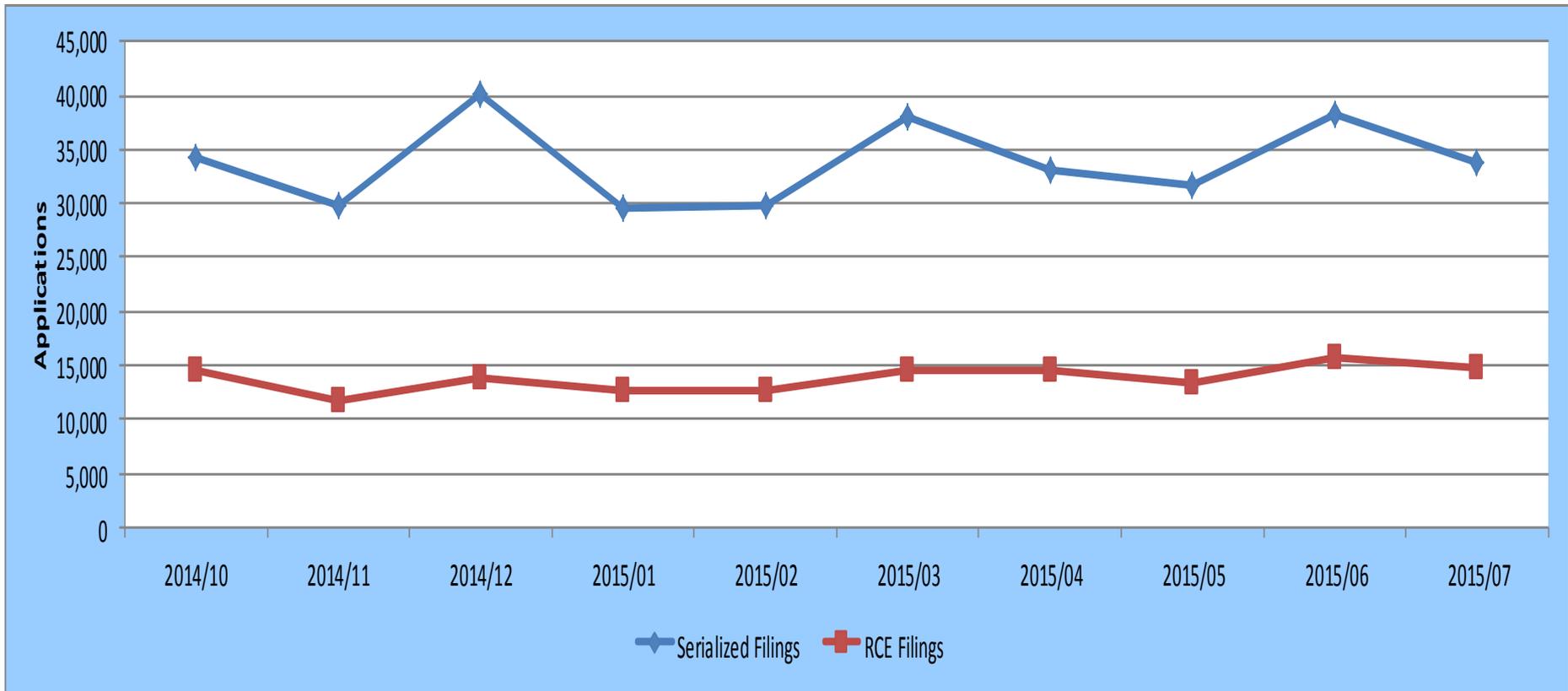


31,532 filings received as of August 12, 2015.

Actual Monthly UPR Filings Received vs. Planned Filings in FY 2015

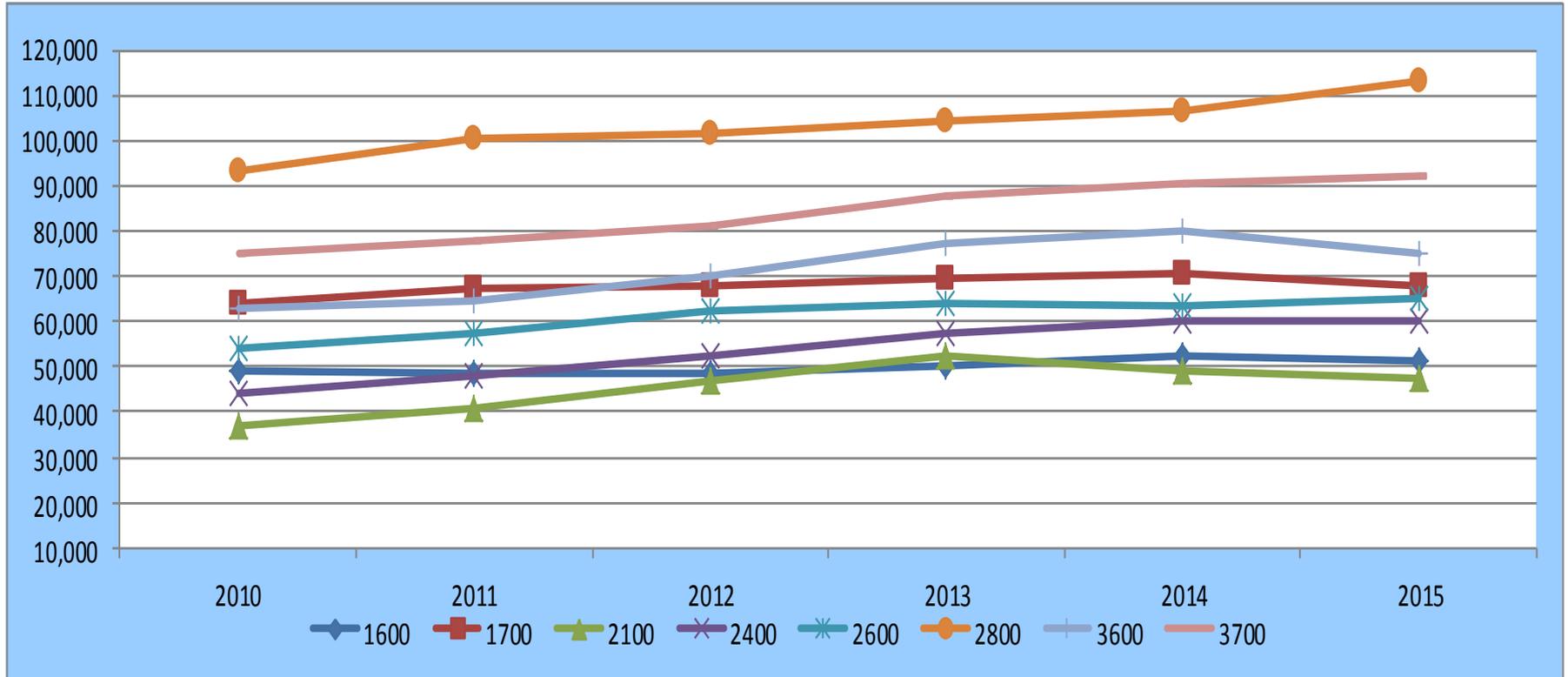


Actual Monthly Serialized and RCE Filings Received in FY 2015 (through July)



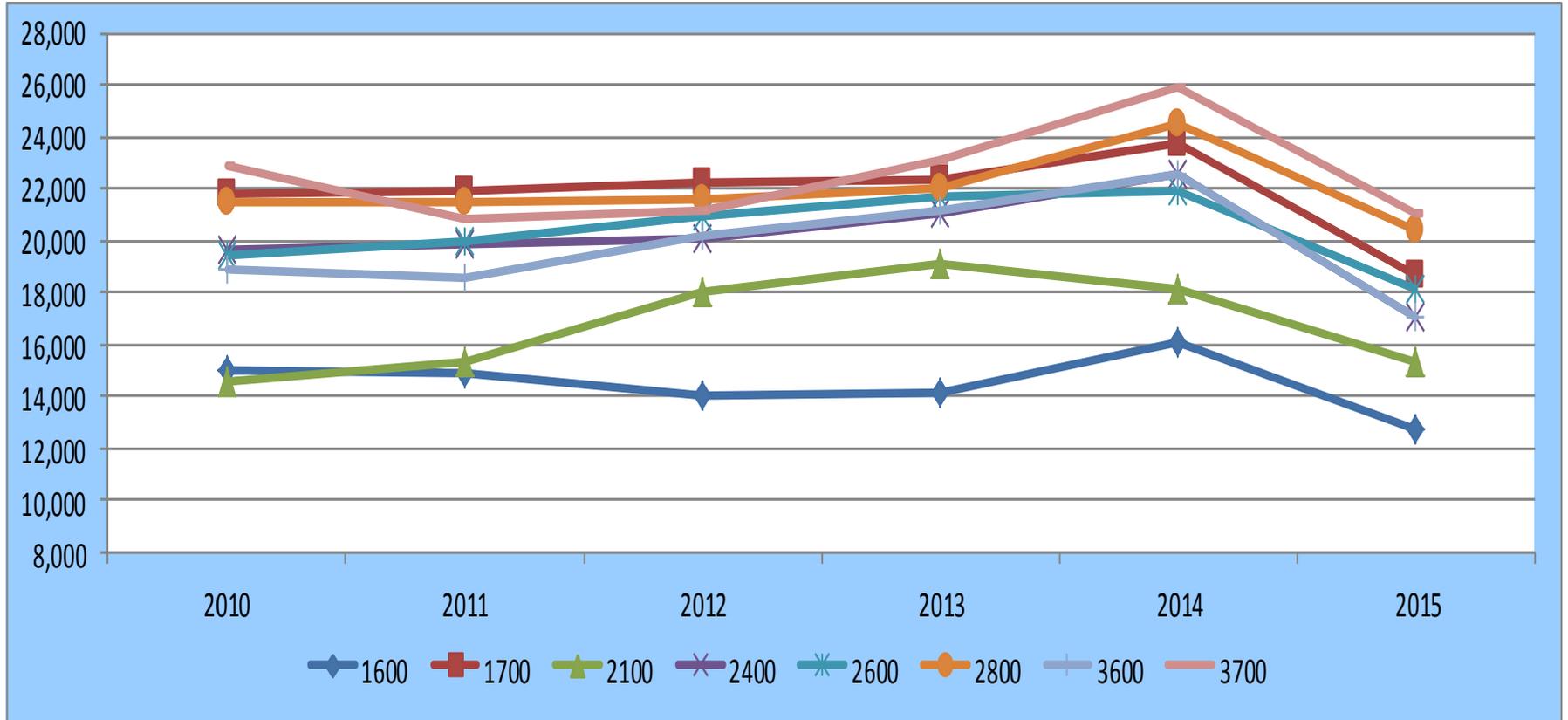
Total Filings by Technology Center

FY 2010 – FY 2015 (projected)

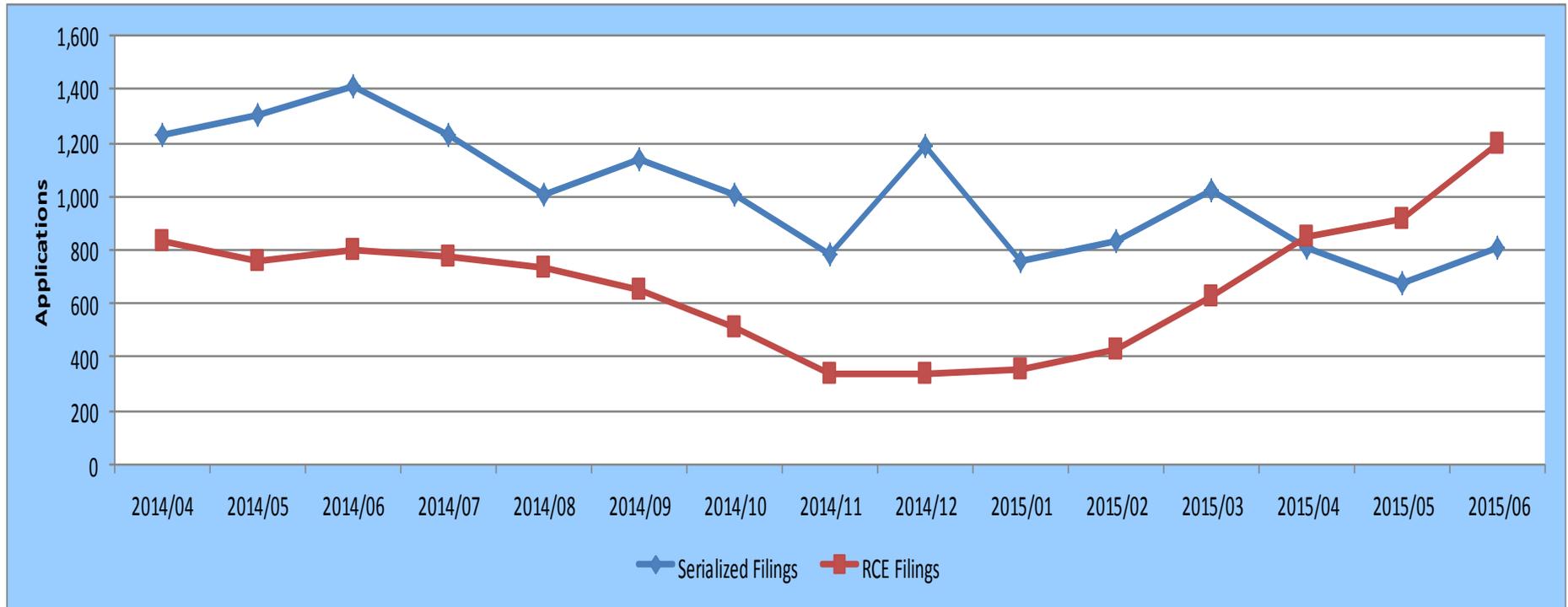


RCE Filings by Technology Center

FY 2010 – FY 2015 (projected)

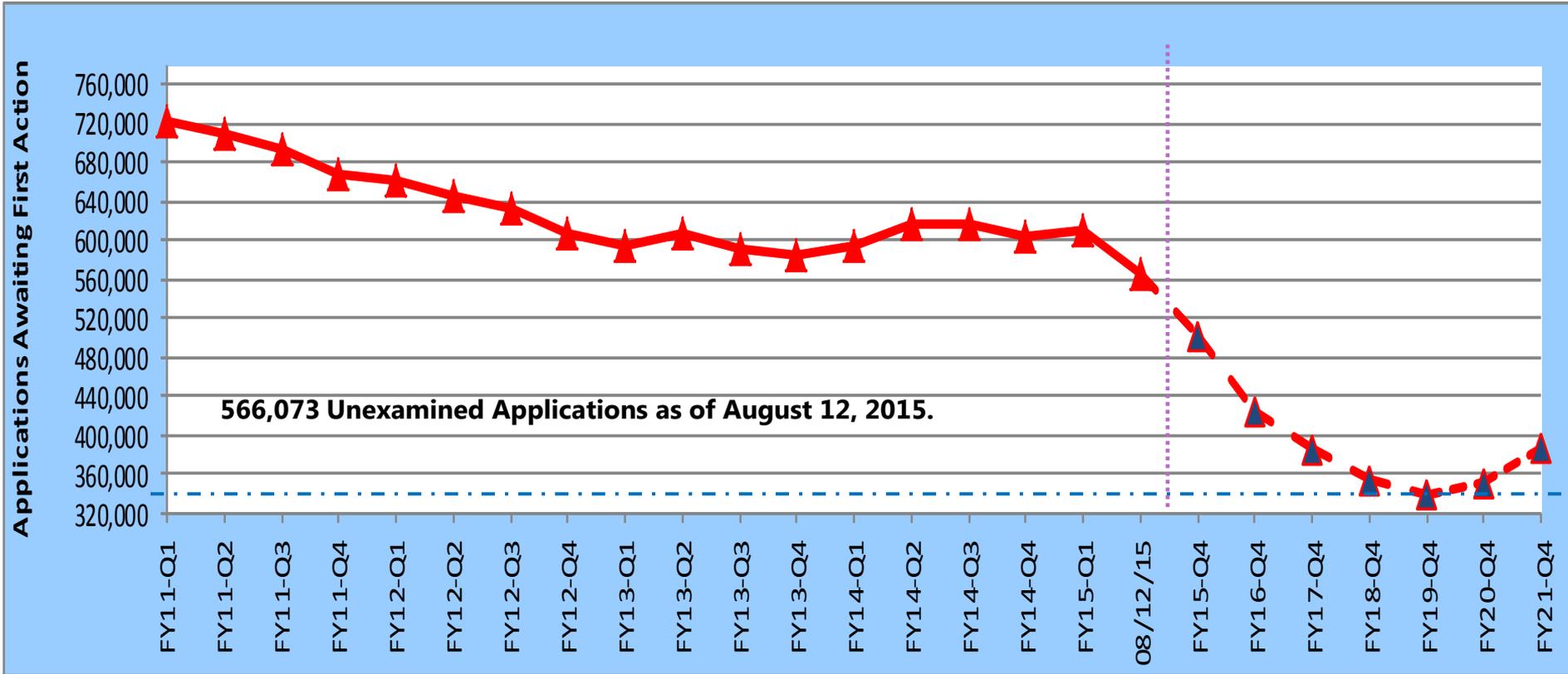


Monthly Serialized and RCE Filings Received in Class 705 (Business Methods) Between April 2014 and June 2015



Unexamined Patent Application Inventory

FY 2011 – FY 2015 (through August 12)

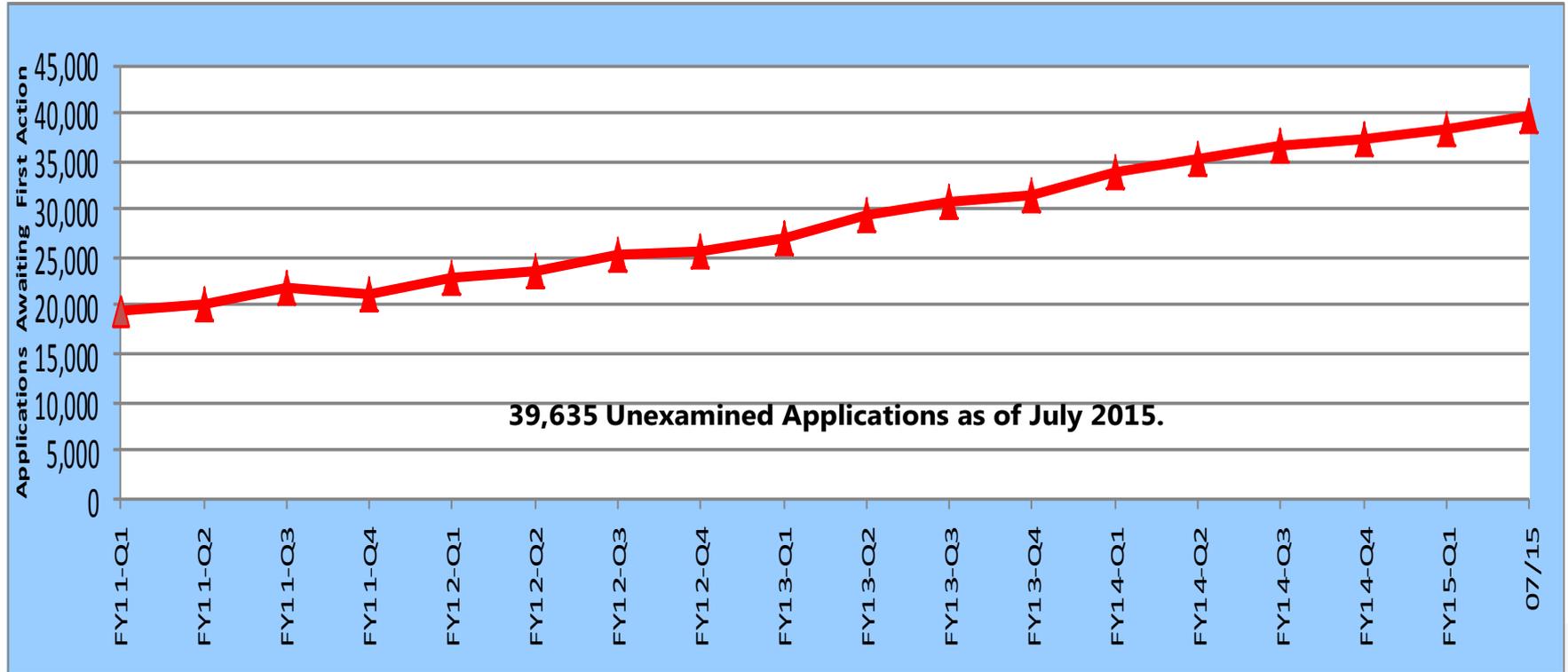


FY 2014 Result: 605,646.

--- Optimal Inventory by FY 2019 : 358,500

Design Unexamined Application Inventory

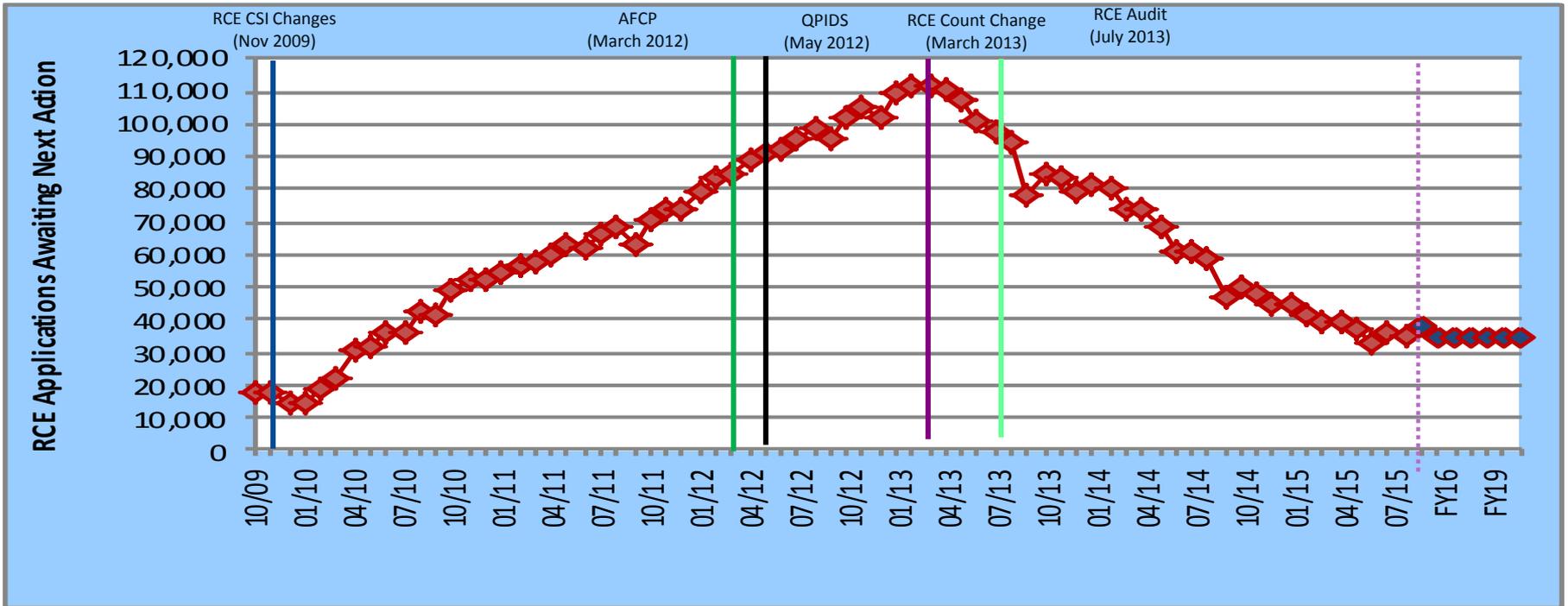
FY 2011 – FY 2015 (through July)



FY 2014 Result: 37,303.

RCE Inventory

FY 2010 – FY 2015 (through August 12)



35,354 as of August 12, 2015.

FY 2014 result: 46,441.

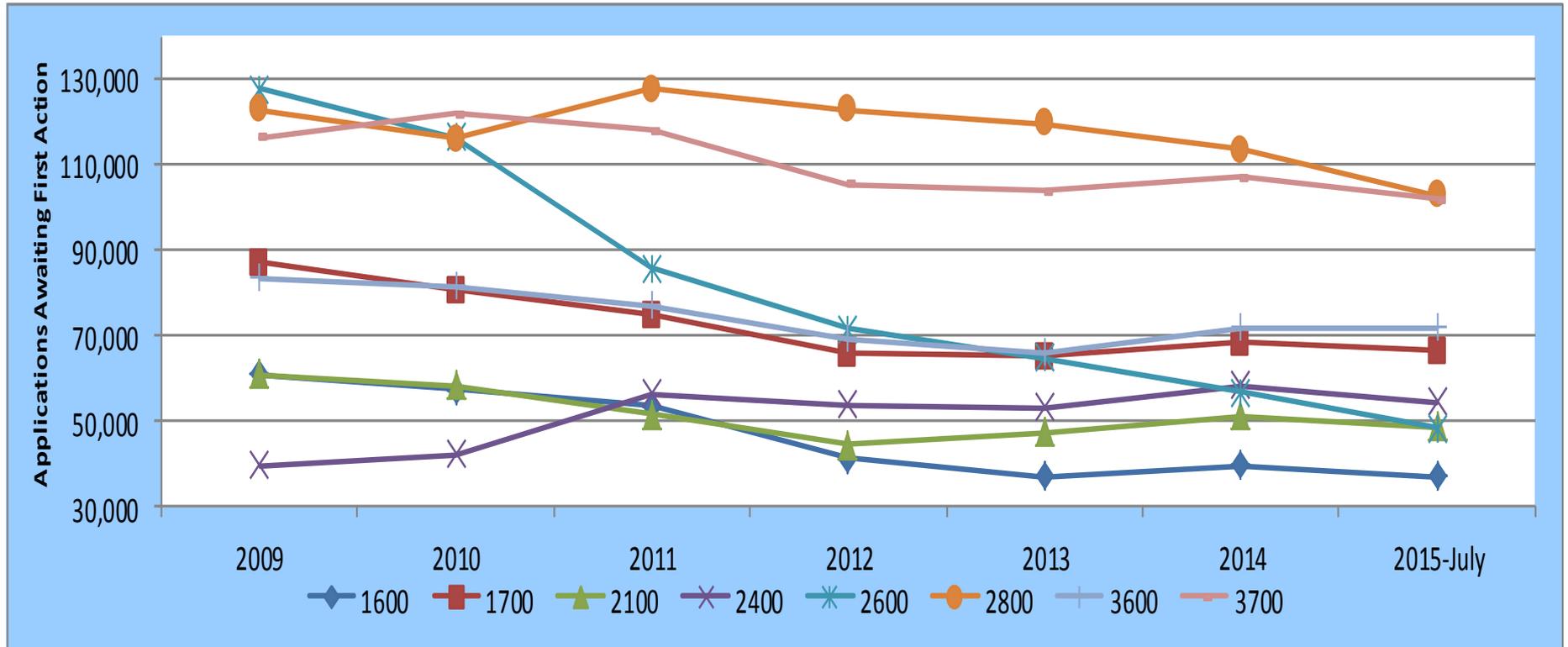
Pendency From RCE Filing to Next Action as of July 2015: 3.4 Months

Percent of Backlog Over 4 Months: 30.7%

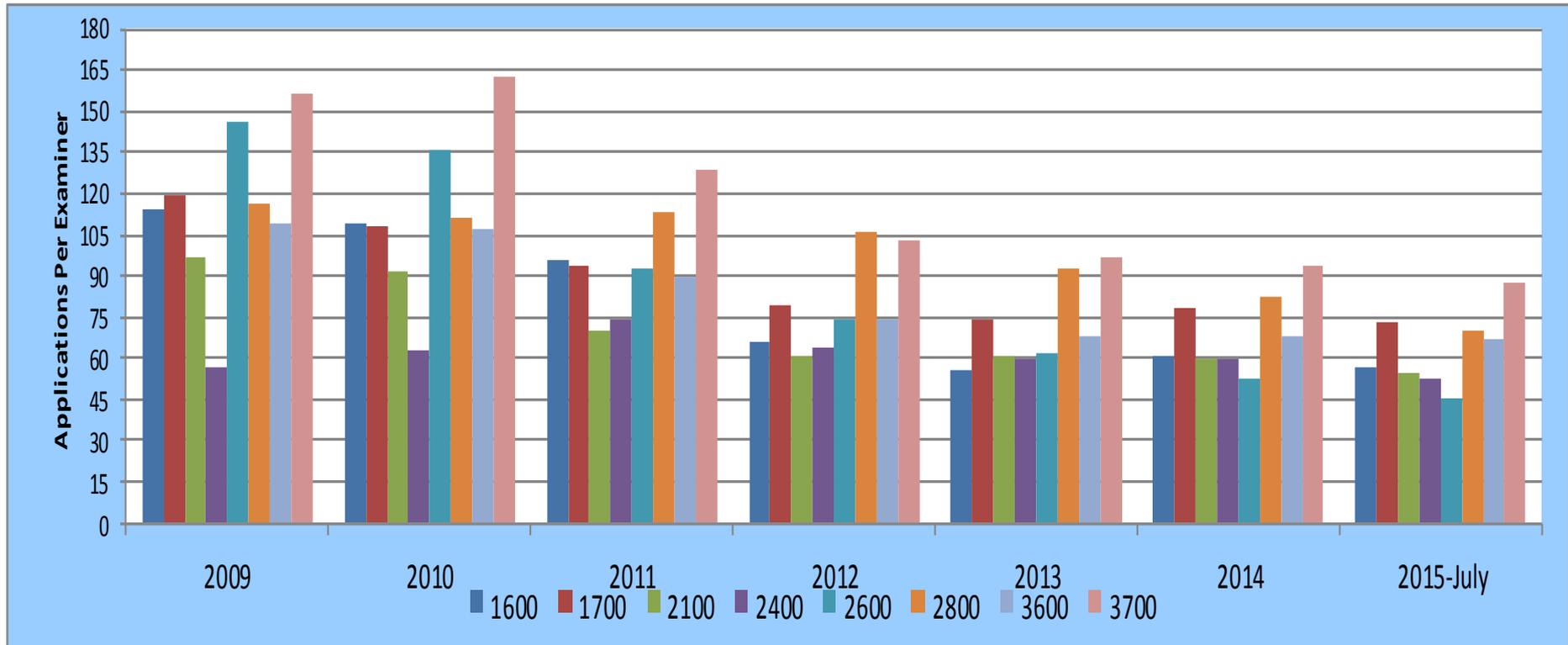


Unexamined Patent Application Inventory by Technology Center

FY 2009 – FY 2015 (through July)

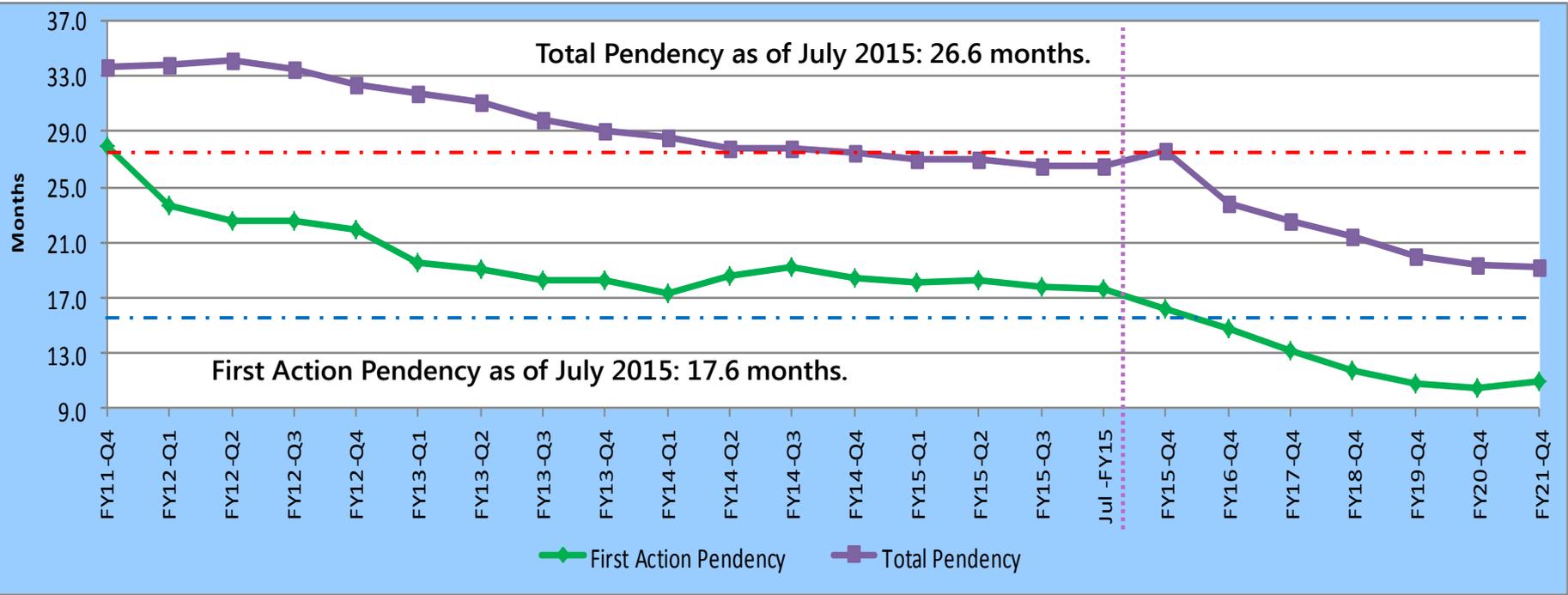


Unexamined Patent Application Inventory Per Examiner by Technology Center FY 2009 – FY 2015 (through July)



First Action Pendency and Total Pendency

FY 2011 – FY 2015 (through July)



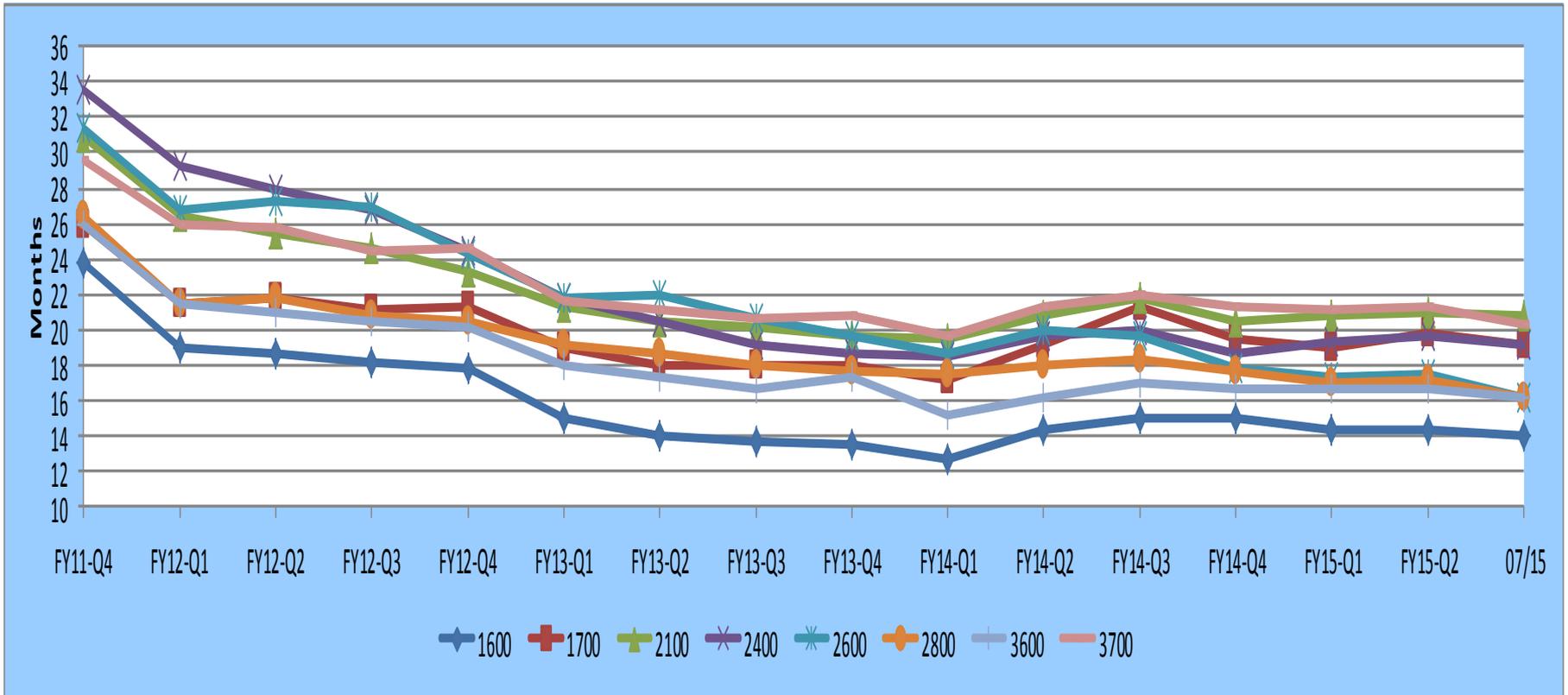
--- Average Total Pendency FY 2015 Target : 27.7 months
 - - - Average First Action Pendency FY 2015 Target : 16.2 months



Forward Looking Pendency as of July 2015: 14.2 Months

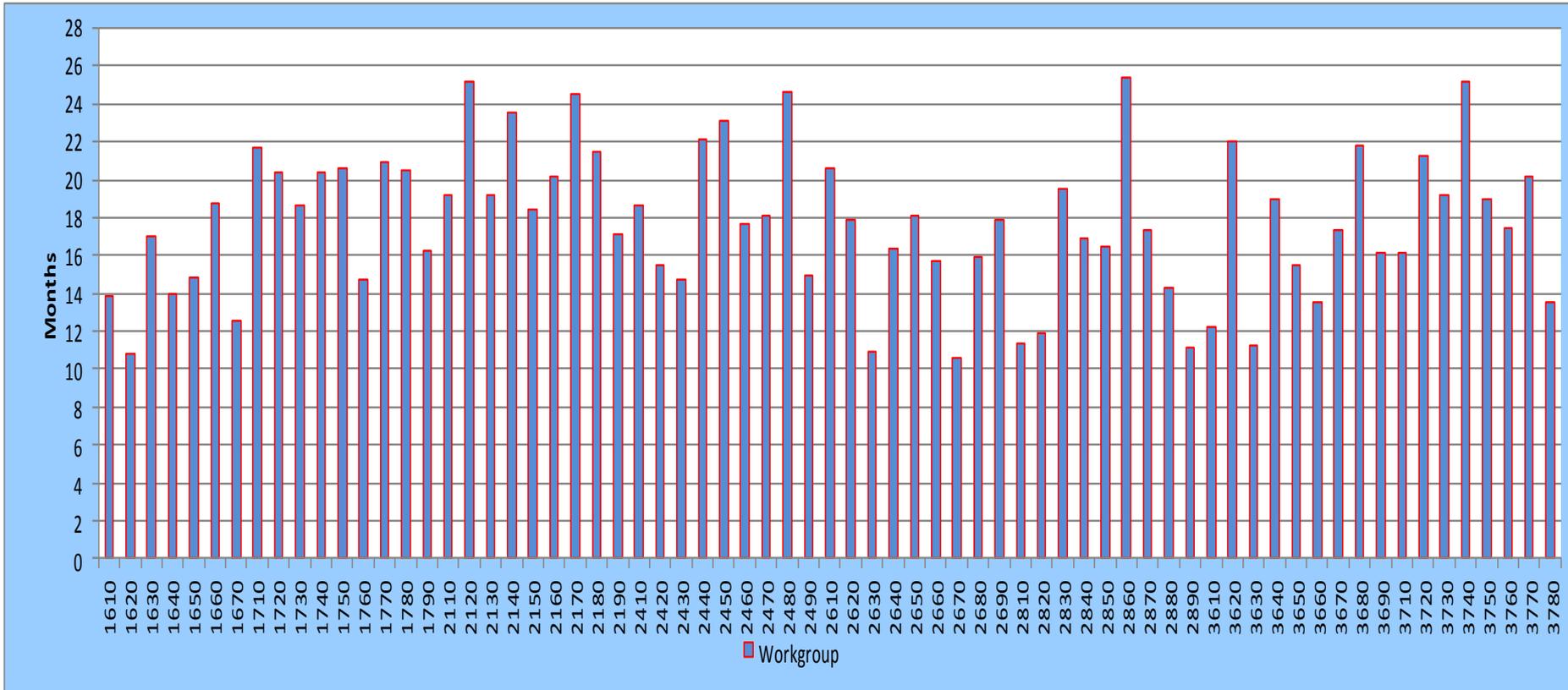
First Action Pendency by Technology Center

FY 2011 – FY 2015 (through July)

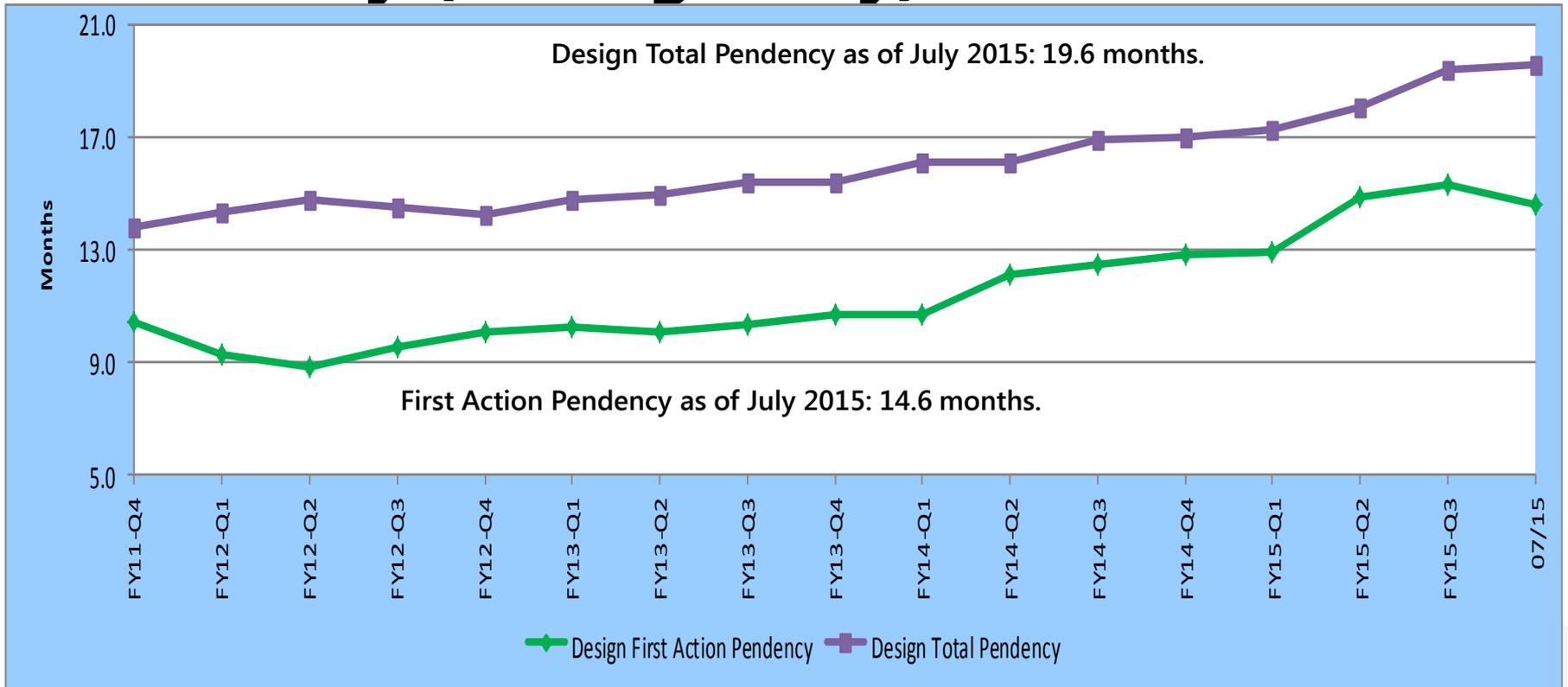


First Action Pendency by Workgroup

July 2015

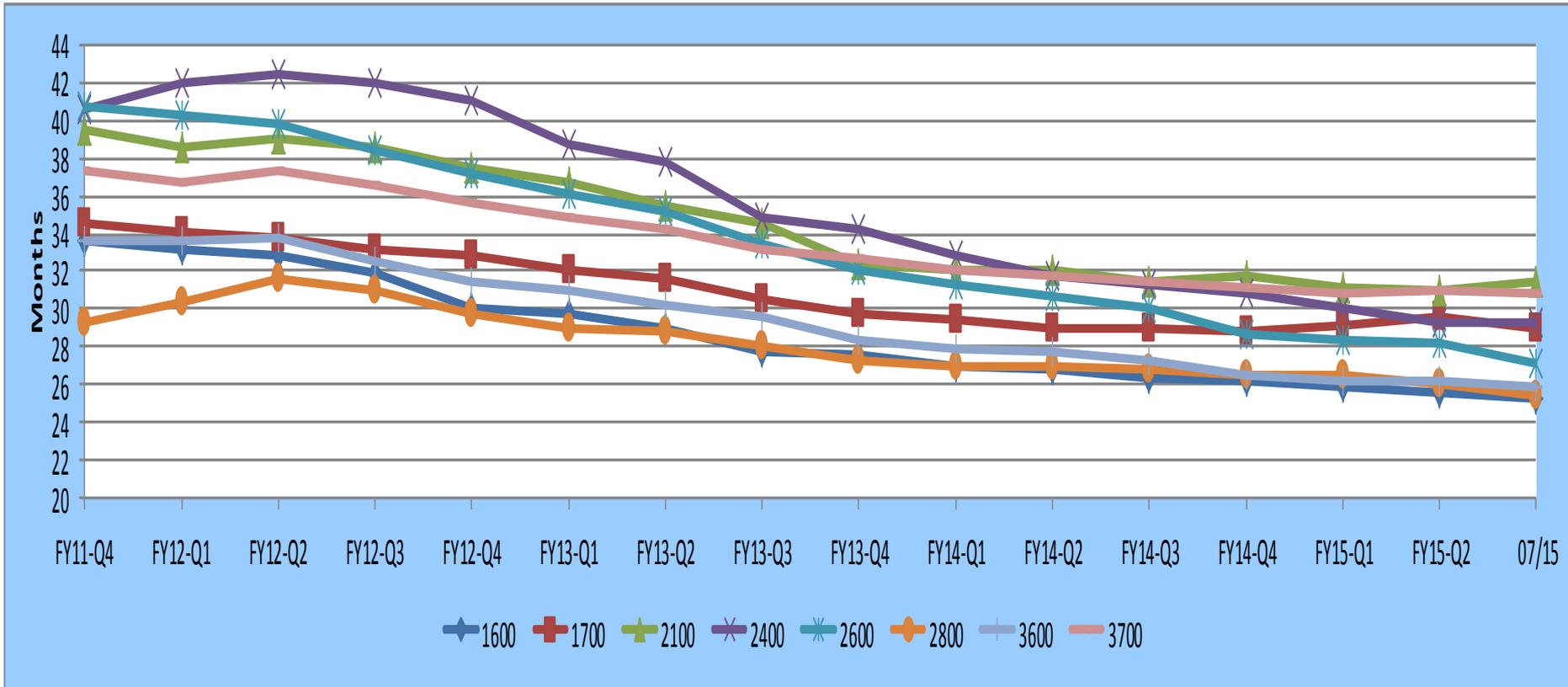


Design First Action Pendency and Total Pendency (through July)

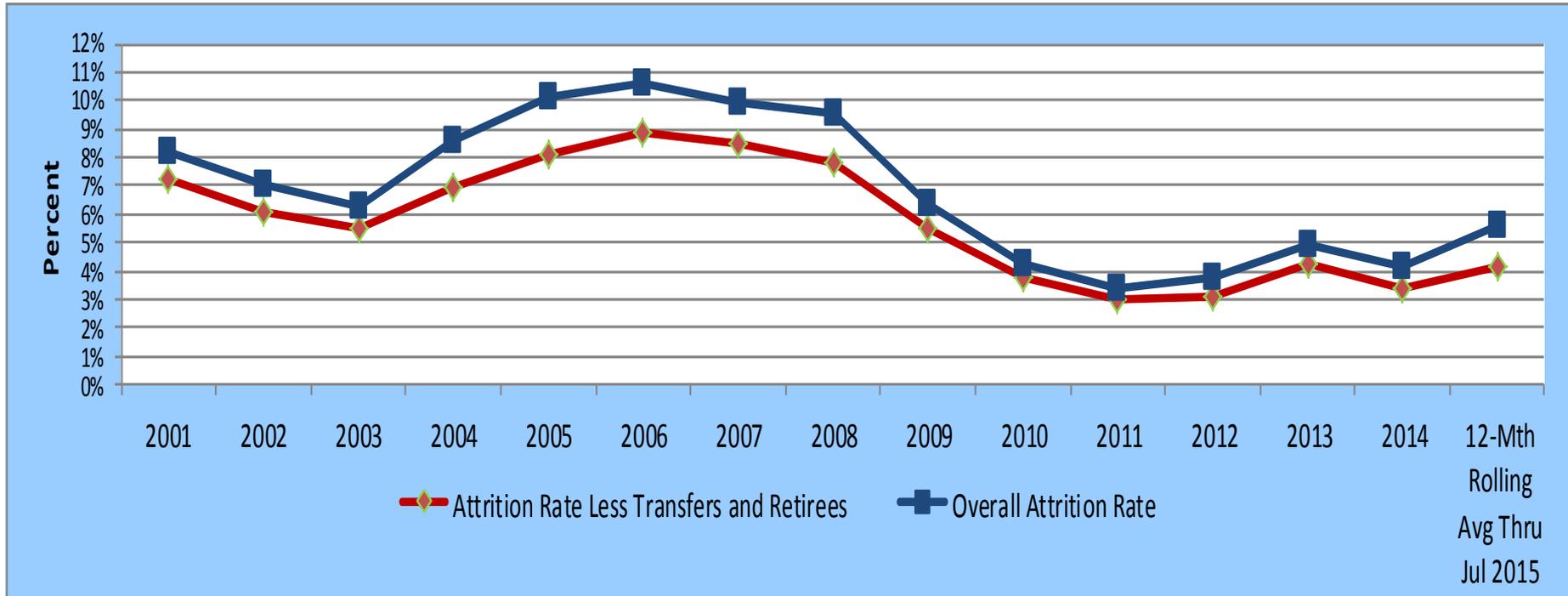


Total Pendency by Technology Center

FY 2011 – FY 2015 (through July)



Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2015 (July)



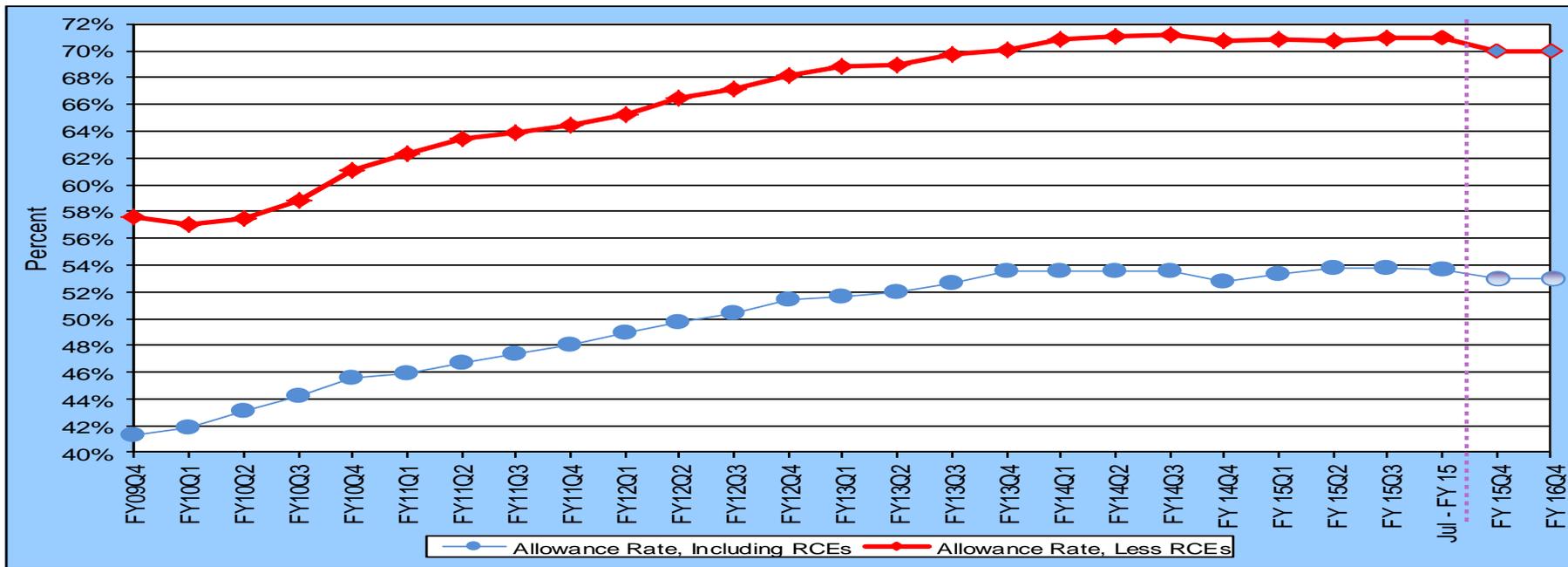
12-Month Rolling Average Attrition Rate Less Transfers and Retirees: 4.17%

12-Month Rolling Average Overall Attrition Rate: 5.58%

Projected FY 15 Attrition Rate: 5%



12-Month Rolling Average Allowance Rate, by Quarter and 12-Month Rolling Average Allowance Rate, less RCE Abandonments by Quarter FY 2009 – FY 2015 (July)



12-Month Rolling Average Allowance Rate as of July: 53.7%

12-Month Rolling Average Allowance Rate Less RCE Abandonments: as of July: 71.0%



Track One Filings

(through August 12)

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	513	575	504	468	1,029	400	508	530	584	642	636	6,894
FY 14	715	570	732	650	629	1,028	822	803	795	796	673	911	9,124
FY 15	833	633	758	661	665	866	778	768	877	868	169		7,876

Percent of Small and Micro Entity Applications	*Number of Micro Entity Applications	Track One Requests Filed After an RCE
51.9%	1,383	2,256

*Micro entity status was implemented March 19, 2013

Questions and Comments

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