

# USPTO Fee Unit Expense Calculation Detail FY2017

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This document provides detailed calculations for the fee unit expenses in “Table 2. Fee Unit Expense Trend and Calculation Methodology” of the [\*USPTO Setting and Adjusting Patent Fees during FY 2017 – Activity Based Information and Patent Fee Unit Expense Methodology\*](#).

The tables in this document are organized by fee unit expense methodology. The narrative explanation describing a given method can be found in the *USPTO Setting and Adjusting Patent Fees during FY 2017 – Activity Based Information and Patent Fee Unit Expense Methodology* document.

The table below shows the workload measure associated with ABI model activities in the Patent expense model. Data tables in the following sections list workload volumes by activity, but not the associated workload measure name. Workload measure names are not listed in subsequent tables to preserve readability. This table provides a reference to determine the workload measures used for each activity.

Activity	Workload Measure Name
1.01 - Process Incoming Paper	# of newly serialized applications (including EFS)
1.02 - Process Application Fees	# of newly serialized applications (including EFS)
1.03 - Application Indexing/Scanning	# of newly serialized applications (including EFS)
1.04 - Routing Classification/Security Screening	# of newly serialized applications (including EFS)
1.05 - Second-level Security Screening and L&R Processing	# of newly serialized applications (including EFS)
1.06 - Conduct Formalities Review	# of newly serialized applications (including EFS)
1.07 - Customer Service (Pre- and Post-Examination)	# of newly serialized applications (including EFS)
2.01 - Tech Support Application Process	# of newly serialized applications (including EFS)
2.02 - Classification and Assignment by Art Unit	# of newly serialized applications (including EFS)
2.03 - Analyze New Application	# of FAOMs/PCT counts (for TC examiners/IPERs (where EPO or KIPO were the ISA)
2.04 - Analyze New Application - Restrictions/Unity of Invention	# of Restrictions, PCT Lack of Unity performed by the Examiner
2.05 - Perform Initial Search	# of initial FAOMs
2.06 - Prepare Initial FAOM (excluding RCEs)	# of initial FAOMs (excludes RCE FAOMs)
2.07 - Consider IDS (Information Disclosure Statement)	# of applications with IDS
2.08 - Prepare All Subsequent Actions	# of all subsequent examiner actions (including RCE FAOMs, but excludes abandonment counts, advisory counts, and PCT work)
2.09 - Perform Subsequent Search	# of examiner actions following FAOM (include non-final, final, allowances, quayle, RCE FAOMs - excluding abandonments)
2.10 - Prepare After Final Response	# of Advisory Actions
2.11 - Applicant Interviews	# of all FAOMs
2.12 - Internal Deliberations	# of Allowed Applications
2.13 - Communications to the Board of Appeals	# of Examiners Answers and Interferences recommended to the Board
2.15 - Quality Review after Tech Center	Reassigned to Tech Centers
2.16 - Quality Review of Tech Support Staff	# of newly serialized applications (including EFS)
2.19 - Paralegal PCT Review (Patent Legal Research Center)	# of PCT210, PCT409, CLMP
2.20 - Prepare PCT Search Report and Written Opinion (Contractor Effort)	# of 237 Reports (by contractor, i.e. GAU 3901-3903)
2.21 - Prepare PCT Search Report (Contractor QR Effort/Contract Oversight)	# of 237 Reports (by contractor, i.e. GAU 3901-3903)
2.23A - Ex Parte Re-Examination	# of orders, rejections, denials, NIRCs, and Examiners Answers
2.23B - Inter Partes Re-Examination	# of orders, rejections, denials, NIRCs, and Examiners Answers
2.24 - Petitions	# of Petitions
3.01 - Initial Data Capture	# of Allowed Applications
3.02 - Final Data Capture	# of Issued Applications
3.03 - Quality Control and Tracking Activities	# of Allowed Applications
3.04 - Print and Assembly Patent for Publication	# of Patents Issued
3.05 - Quality Assurance - Database Inspection	# of Patents Issued
3.06 - Quality Assurance - Certificates of Correction	# of Request Received for Certificates of Correction
4.01 - Support Patent Maintenance System	# of newly serialized applications (including EFS)
5.01 - Application Initiation	# of PCT and National Stage Applications Received
5.02 - Chapter I Formalities Review	# of Chapter I Applications Received

Activity	Workload Measure Name
5.03 - Copy and Mailings	# of Chapter I, Chapter II, and 371 Applications Received
5.04 - Chapter II Formalities Review	# of Chapter II Applications Received
5.06 - Perform Processing Section Functions	# of Search, Examination, and Lack of Unity Reports Mailed
5.07 - Process US National Stage Application	# of 371 Filings
5.09 - Perform PCT File Maintenance	# of PCT Applications Received
5.10 - Petitions (International and 371) - PCT Legal	# of Petitions
Review Ex Parte Appeal Briefs	Ex Parte Appeals- # of appeal briefs filed
Process EWF and Docket Ex Parte Appeals	Ex Parte Appeals- # of Electronic Working Files (EWF) with appeal numbers
Process Ex Parte Appeals Decision for Final Disposition	Ex Parte Appeals-# of cases terminated
Prepare Ex Parte Appeals Decision	Ex Parte Appeals-# of cases terminated
Declaration of Interference	Interferences- # of Interferences newly declared and denied
Process Interferences	Interferences- # cases terminated
Decide Motions in Interferences	Interferences- # cases terminated
Review Ex Parte Reexam Appeal Briefs	Ex Parte Reexam Appeals- # of appeal briefs filed
Process EWF and Docket Ex Parte Reexam Appeal	Ex Parte Reexam Appeals- # of Electronic Working Files (EWF) with appeal numbers
Process Ex Parte Reexam Decision for Final Disposition	Ex Parte Reexam Appeals-# of cases terminated
Prepare Ex Parte Reexam Decision	Ex Parte Reexam Appeals-# of cases terminated
Review Inter Partes Reexam Briefs	Inter Partes Reexam Appeals- # of appeal briefs filed
Process EWF and Docket Inter Partes Reexam Appeal	Inter Partes Reexam Appeals- # of Electronic Working Files (EWF) with appeal numbers
Process Inter Partes Re-Exam Decision for Final Disposition	Inter Partes Reexam Appeals-# of cases terminated
Prepare Inter Partes Re-exam Decision	Inter Partes Reexam Appeals-# of cases terminated
Prepare decision in Inter Partes Re-Exam Appeals Request for Re-prosecution	Inter Partes Reexam Appeals-# of cases terminated
IPR: Reconcile Petitions	IPR: # of petitions filed
IPR: All Pre-institution Activities Except Disc. Disputes	IPR: # of cases on which an institution decision is made
IPR: All Post-institution Activities Except Disc. Disputes	IPR: # of final decisions mailed
IPR: Process Pre-Institution Documents	IPR: # of cases on which an institution decision is made
IPR: Process Post-Institution Documents	IPR: # of final decisions mailed
PGR: Reconcile Petitions	PGR: # of petitions filed
PGR: All Pre-institution Activities Except Disc. Disputes	PGR: # of cases on which an institution decision is made
PGR: All Post-institution Activities Except Disc. Disputes	PGR: # of final decisions mailed
PGR: Process Pre-Institution Documents	PGR: # of cases on which an institution decision is made
PGR: Process Post-Institution Documents	PGR: # of final decisions mailed
CBM: Reconcile Petitions	CBM: # of petitions filed
CBM: All Pre-institution Activities Except Disc. Disputes	CBM: # of cases on which an institution decision is made
CBM: All Post-institution Activities Except Disc. Disputes	CBM: # of final decisions mailed
CBM: Process Pre-Institution Documents	CBM: # of cases on which an institution decision is made
CBM: Process Post-Institution Documents	CBM: # of final decisions mailed
DER: Reconcile Petitions	DER: # of petitions filed
DER: All Pre-institution Activities Except Disc. Disputes	DER: # of cases on which an institution decision is made
DER: All Post-institution Activities Except Disc. Disputes	DER: # of final decisions mailed
DER: Process Pre-Institution Documents	DER: # of cases on which an institution decision is made
DER: Process Post-Institution Documents	DER: # of final decisions mailed
Oral Hearings Activities	#of oral hearings by proceeding type
Process Petitions	# of petitions filed

Activity	Workload Measure Name
Prepare and Review Petitions Decisions	# of petitions filed
Administer Paneling	# of cases paneled by proceeding type
Process Paneling	# of cases paneled by proceeding type
Fee processing Activity	# of fee transactions
9.01 - Perform Early Data Capture (EDC)	# of Applications Captured by EDC
9.02 - PG Pub and Initial Classification	# of new Utilities
9.03 - PG Pub Monitoring, Tracking, and Publishing of PG Pub Activities	# of Published PG Pub Applications

**Additional detail for fee unit expenses using the "I. Total Activity Unit Expense " method, as described in SECTION 5: FEE UNIT EXPENSE CALCULATION of the USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology document.**

**Method I. Total Activity Unit Expense -- FY 2015 Fee Unit Expenses**

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2015 Activity Unit Expense
1011/2011/3011	Basic filing fee - Utility	1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1
1012/2012/3012	Basic filing fee - Design	1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39
1013/2013/3013	Basic filing fee - Plant	1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68
		1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6
		1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1
		1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8
		2.01 - Tech Support Application Process	\$ 49,061,335	450,009	\$ 109
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- Exam	\$ 430,211	478,182	\$ 1
		2.02 - Classification and Assignment by Art Unit	\$ 6,106,389	450,009	\$ 14
<b>Total</b>					<b>\$ 277</b>
1014/2014/3014	Basic filing fee - Reissue	1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1
		1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39
		1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68
		1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6
		1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8
		2.01 - Tech Support Application Process	\$ 49,061,335	450,009	\$ 109
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- Exam	\$ 430,211	478,182	\$ 1
<b>Total</b>					<b>\$ 276</b>
1005/2005/3005	Provisional application filing fee	1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1
		1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39
		1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68
		1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6
		1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1
		1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8
<b>Total</b>					<b>\$ 154</b>
1501/2501/3501	Utility issue fee	3.01 - Initial Data Capture	\$ 71,451,661	344,762	\$ 207
1502/2502/3502	Design issue fee	3.02 - Final Data Capture	\$ 22,462,009	324,130	\$ 69
1503/2503/3503	Plant issue fee	3.03 - Quality Control and Tracking Activities	\$ 6,651,773	344,762	\$ 19
1511/2511/3511	Reissue issue fee	3.04 - Print and Assembly Patent for Publication	\$ 3,123,526	323,316	\$ 10
		3.05 - Quality Assurance - Database Inspection	\$ 2,642,134	323,316	\$ 8
<b>Total</b>					<b>\$ 314</b>
1505/2505/3505	Publication fee for republication	9.03 (includes 9.01) - PG Pub Monitoring, Tracking, and Publishing of PG Pub Activities	\$ 58,917,240	362,455	\$ 163
<b>Total</b>					<b>\$ 163</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2015 Activity Unit Expense	
1558/2558/3558	Surcharge after expiration - Late payment is unintentional	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121	
<b>Total</b>					<b>\$ 121</b>	
1808	Processing fee, except in provisional applications	1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31	
<b>Total</b>					<b>\$ 31</b>	
1807	Processing fee for provisional applications	1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31	
<b>Total</b>					<b>\$ 31</b>	
1803	Request for voluntary publication or republication	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121	
<b>Total</b>					<b>\$ 121</b>	
1802/2802/3802	Request for expedited examination of a design application	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121	
<b>Total</b>					<b>\$ 121</b>	
1811	Certificate of correction	3.06 - Quality Assurance - Certificates of Correction	\$ 2,301,865	24,703	\$ 93	
<b>Total</b>					<b>\$ 93</b>	
1631/2631/3631	Basic National Stage Fee	1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1	
		1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39	
		1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68	
		1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6	
		1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1	
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8	
		2.01 - Tech Support Application Process	\$ 49,061,335	450,009	\$ 109	
		2.02 - Classification and Assignment by Art Unit	\$ 6,106,389	450,009	\$ 14	
		5.01 - Application Initiation	\$ 2,403,090	149,208	\$ 16	
		5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5	
	5.07 - Process US National Stage Application	\$ 6,848,473	89,485	\$ 77		
<b>Total</b>					<b>\$ 343</b>	
1601/2601/3601	Transmittal fee	1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1	
		1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39	
		1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68	
		1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6	
		1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1	
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- PCT	\$ 732,380	56,626	\$ 13	
		2.21 - Prepare PCT Search Report (Contractor QR Effort/Contract Oversight)	\$ 1,305,157	22,154	\$ 59	
		5.01 - Application Initiation	\$ 2,403,090	149,208	\$ 16	
		5.02 - Chapter I Formalities Review	\$ 4,629,428	58,250	\$ 79	
		5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5	
		5.06 - Perform Processing Section Functions	\$ 401,495	27,963	\$ 14	
<b>Total</b>					<b>\$ 302</b>	

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2015 Activity Unit Expense
1602/2602/3602	PCT search fee - no prior U.S. application filed under 35 USC 111(a)	2.20 - Prepare PCT Search Report and Written Opinion (Contractor Effort)	\$ 41,922,315	22,154	\$ 1,892
		5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5
		5.06 - Perform Processing Section Functions	\$ 401,495	27,963	\$ 14
<b>Total</b>					<b>\$ 1,911</b>
1604/2604/3604	Supplemental search fee per additional invention	2.20 - Prepare PCT Search Report and Written Opinion (Contractor Effort)	\$ 41,922,315	22,154	\$ 1,892
		5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5
		5.06 - Perform Processing Section Functions	\$ 401,495	27,963	\$ 14
<b>Total</b>					<b>\$ 1,911</b>
1621/2621/3621	Transmitting application to Intl. Bureau to act as receiving office	5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5
<b>Total</b>					<b>\$ 5</b>
1605/2605/3605	Preliminary examination fee - U.S. was the ISA	2.08 - Prepare All Subsequent Actions	\$ 347,212,813	758,369	\$ 458
		2.09 - Perform Subsequent Search	\$ 265,790,607	758,369	\$ 350
		5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5
		5.04 - Chapter II Formalities Review	\$ 57,525	1,473	\$ 39
		5.06 - Perform Processing Section Functions	\$ 401,495	27,963	\$ 14
<b>Total</b>					<b>\$ 866</b>
1606/2606/3606	Preliminary examination fee -U.S. was not the ISA	2.08 - Prepare All Subsequent Actions	\$ 347,212,813	758,369	\$ 458
		2.09 - Perform Subsequent Search	\$ 265,790,607	758,369	\$ 350
		5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5
		5.04 - Chapter II Formalities Review	\$ 57,525	1,473	\$ 39
		5.06 - Perform Processing Section Functions	\$ 401,495	27,963	\$ 14
<b>Total</b>					<b>\$ 866</b>
1607/2607/3607	Supplemental examination fee per additional invention	2.08 - Prepare All Subsequent Actions	\$ 347,212,813	758,369	\$ 458
		2.09 - Perform Subsequent Search	\$ 265,790,607	758,369	\$ 350
		5.03 - Copy and Mailings	\$ 700,024	149,208	\$ 5
		5.04 - Chapter II Formalities Review	\$ 57,525	1,473	\$ 39
		5.06 - Perform Processing Section Functions	\$ 401,495	27,963	\$ 14
<b>Total</b>					<b>\$ 866</b>
1453/2453/3453	Petition to revive unintentionally abandoned application	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,238,107	10,118	\$ 122
<b>Total</b>					<b>\$ 244</b>
1454/2454/3454	Acceptance of an unintentionally delayed claim for priority	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,238,107	10,118	\$ 122
<b>Total</b>					<b>\$ 244</b>
1462/2462/3462	Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,238,107	10,118	\$ 122
<b>Total</b>					<b>\$ 244</b>
1463/2463/3463	Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,238,107	10,118	\$ 122
<b>Total</b>					<b>\$ 244</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2015 Activity Unit Expense
1464/2464/3464	Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
<b>Total</b>					<b>\$ 121</b>
1455/2455/3455	Filing an application for patent term adjustment	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
<b>Total</b>					<b>\$ 121</b>
1456/2456/3456	Request for reinstatement of term reduced	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
<b>Total</b>					<b>\$ 121</b>
1457/2457/3457	Extension of term of patent	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
<b>Total</b>					<b>\$ 121</b>
1458/2458/3458	Initial Application for Interim Extension	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
<b>Total</b>					<b>\$ 121</b>
1459/2459/3459	Subsequent application for interim extension (see 37 CFR 1.790)	2.24 - Petitions	\$ 4,586,928	37,786	\$ 121
<b>Total</b>					<b>\$ 121</b>
1405 (all Petition fees)	Petitions to the Chief Administrative Patent Judge under 37 CFR 41.3	Petitions Activities (Non-AIA, Non- Oral Hearing)	\$ 876,715	191	\$ 4,590
		Fee processing Activity	\$ 321,099	51,630	\$ 6
<b>Total</b>					<b>\$ 4,596</b>
1401/2401/3401	Notice of appeal	Review Ex Parte Appeal Briefs	\$ 584,523	15,184	\$ 38
		Fee processing Activity	\$ 321,099	51,630	\$ 6
<b>Total</b>					<b>\$ 45</b>
1401/2401/3401	Notice of appeal	Review Ex Parte Reexam Appeal Briefs	\$ 11,246	101	\$ 111
		Fee processing Activity	\$ 321,099	51,630	\$ 6
<b>Total</b>					<b>\$ 118</b>
1404/2404/3404	Filing a brief in support of an appeal in an inter partes reexamination proceeding	Process EWF and Docket Inter Partes Reexam Appeal	\$ 130,457	234	\$ 558
		Paneling Activities	\$ 735,051	9,972	\$ 74
		Prepare Inter Partes Re-exam Decision	\$ 4,300,007	222	\$ 19,369
		Process Inter Partes Reexam Decision for Final Disposition	\$ 133,455	222	\$ 601
		Prepare decision in Inter Partes Re-Exam Appeals Request for Re-prosecution	\$ 59,115	222	\$ 266
		Fee processing Activity	\$ 321,099	51,630	\$ 6
<b>Total</b>					<b>\$ 20,874</b>
1413/2413/3413	Forwarding an appeal in an application or ex parte reexamination proceeding to the Board	Process EWF and Docket Ex Parte Reexam Appeal	\$ -	91	\$ -
		Paneling Activities	\$ 735,051	9,972	\$ 74
		Process Ex Parte Reexam Decision for Final Disposition	\$ 29,969	86	\$ 348
		Prepare Ex Parte Reexam Decision	\$ 1,388,793	86	\$ 16,149
		Fee processing Activity	\$ 321,099	51,630	\$ 6
<b>Total</b>					<b>\$ 16,577</b>
1406	Inter partes review request fee - Up to 20 claims	IPR: Reconcile Petitions	\$ 310,628	1,737	\$ 179
		IPR: All Pre-institution Activities Except Disc. Disputes	\$ 18,331,436	917	\$ 19,991
		IPR: Process Pre-Institution Documents	\$ 1,829,886	917	\$ 1,996
<b>Total</b>					<b>\$ 22,165</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2015 Activity Unit Expense
1414	Inter partes review post-institution fee - Up to 15 claims	Oral Hearings Activities	\$ 1,726,126	1,169	\$ 1,477
		IPR: All Post-institution Activities Except Disc. Disputes	\$ 10,278,426	1,005	\$ 10,227
		IPR: Process Post-Institution Documents	\$ 974,529	1,005	\$ 970
<b>Total</b>					<b>\$ 12,674</b>
1403/2403/3403	Request for oral hearing - Ex Parte Appeal (EPA)	Oral Hearings Activities	\$ 1,726,126	1,169	\$ 1,477
		Fee processing Activity	\$ 321,099	51,630	\$ 6
<b>Total</b>					<b>\$ 1,483</b>
1413/2413/3413	Forwarding an appeal in an application or ex parte reexamination proceeding to the Board- EPA	Process EWF and Docket Ex Parte Appeals	\$ 285,247	8,055	\$ 35
		Paneling Activities	\$ 735,051	9,972	\$ 74
		Process Ex Parte Appeals Decision for Final Disposition	\$ 4,446,324	12,289	\$ 362
		CRU on Detail to PTAB Activity	\$ -	12,289	\$ -
		Prepare Ex Parte Appeals Decision	\$ 53,308,685	12,289	\$ 4,338
		Fee processing Activity	\$ 321,099	51,630	\$ 6
<b>Total</b>					<b>\$ 4,815</b>
4011	Basic filing fee - Utility (electronic filing for small entities)	1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1
		1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39
		1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68
		1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6
		1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1
		1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8
		2.01 - Tech Support Application Process	\$ 49,061,335	450,009	\$ 109
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- Exam	\$ 430,211	478,182	\$ 1
		2.02 - Classification and Assignment by Art Unit	\$ 6,106,389	450,009	\$ 14
<b>Total</b>					<b>\$ 277</b>
N/A	Publication fee for early, voluntary, or normal publication	9.02 - PG Pub and Initial Classification	\$ 20,556,980	410,549	\$ 50
		9.03 (includes 9.01) - PG Pub Monitoring, Tracking, and Publishing of PG Pub Activities	\$ 58,917,240	362,455	\$ 163
<b>Total</b>					<b>\$ 213</b>

Note: Activity 1.02 - Process Application Fees includes 4.01 Support Patent Maintenance System expense. Activity 2.01 - Tech Support Application Process includes 2.16 Quality Review of Tech Support Staff expense.

**Method I. Total Activity Unit Expense -- FY 2014 Fee Unit Expenses**

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2014 Activity Unit Expense
1011/2011/3011	Basic filing fee - Utility	1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2
1012/2012/3012	Basic filing fee - Design	1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36
1013/2013/3013	Basic filing fee - Plant	1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69
		1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4
		1.05 - Second-level Security Screening and L&R Processing	\$ 558,199	593,435	\$ 1
		1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5
		2.01 - Tech Support Application Process	\$ 42,088,739	443,496	\$ 95
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- Exam	\$ 350,000	398,985	\$ 1
		2.02 - Classification and Assignment by Art Unit	\$ 7,687,994	443,496	\$ 17
<b>Total</b>					<b>\$ 257</b>
1014/2014/3014	Basic filing fee - Reissue	1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2
		1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36
		1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69
		1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4
		1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5
		2.01 - Tech Support Application Process	\$ 42,088,739	443,496	\$ 95
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- Exam	\$ 350,000	398,985	\$ 1
<b>Total</b>					<b>\$ 256</b>
1005/2005/3005	Provisional application filing fee	1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2
		1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36
		1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69
		1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4
		1.05 - Second-level Security Screening and L&R Processing	\$ 558,199	593,435	\$ 1
		1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5
<b>Total</b>					<b>\$ 144</b>
1501/2501/3501	Utility issue fee	3.01 - Initial Data Capture	\$ 62,552,061	338,388	\$ 185
1502/2502/3502	Design issue fee	3.02 - Final Data Capture	\$ 22,015,620	333,059	\$ 66
1503/2503/3503	Plant issue fee	3.03 - Quality Control and Tracking Activities	\$ 4,151,169	338,388	\$ 12
1511/2511/3511	Reissue issue fee	3.04 - Print and Assembly Patent for Publication	\$ 3,532,170	330,603	\$ 11
		3.05 - Quality Assurance - Database Inspection	\$ 2,139,141	330,603	\$ 6
<b>Total</b>					<b>\$ 280</b>
1505/2505/3505	Publication fee for republication	9.03 (includes 9.01) - PG Pub Monitoring, Tracking, and Publishing of PG Pub Activities	\$ 59,428,887	381,936	\$ 156
<b>Total</b>					<b>\$ 156</b>
1558/2558/3558	Surcharge after expiration - Late payment is unintentional	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
<b>Total</b>					<b>\$ 113</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2014 Activity Unit Expense		
1808	Processing fee, except in provisional applications	1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27		
<b>Total</b>					<b>\$ 27</b>		
1807	Processing fee for provisional applications	1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27		
<b>Total</b>					<b>\$ 27</b>		
1803	Request for voluntary publication or republication	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113		
<b>Total</b>					<b>\$ 113</b>		
1802/2802/3802	Request for expedited examination of a design application	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113		
<b>Total</b>					<b>\$ 113</b>		
1811	Certificate of correction	3.06 - Quality Assurance - Certificates of Correction	\$ 1,878,686	25,373	\$ 74		
<b>Total</b>					<b>\$ 74</b>		
1631/2631/3631	Basic National Stage Fee	1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2		
		1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36		
		1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69		
		1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4		
		1.05 - Second-level Security Screening and L&R Processing	\$ 558,199	593,435	\$ 1		
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5		
		2.01 - Tech Support Application Process	\$ 42,088,739	443,496	\$ 95		
		2.02 - Classification and Assignment by Art Unit	\$ 7,687,994	443,496	\$ 17		
		5.01 - Application Initiation	\$ 2,409,043	135,006	\$ 18		
		5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5		
<b>Total</b>					<b>\$ 350</b>		
1601/2601/3601	Transmittal fee	1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2		
		1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36		
		1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69		
		1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4		
		1.05 - Second-level Security Screening and L&R Processing	\$ 558,199	593,435	\$ 1		
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- PCT	\$ 554,498	62,152	\$ 9		
		2.21 - Prepare PCT Search Report (Contractor QR Effort/Contract Oversight)	\$ 1,281,197	19,546	\$ 66		
		5.01 - Application Initiation	\$ 2,409,043	135,006	\$ 18		
		5.02 - Chapter I Formalities Review	\$ 5,452,813	63,578	\$ 86		
		5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5		
		5.06 - Perform Processing Section Functions	\$ 389,205	24,784	\$ 16		
		<b>Total</b>					<b>\$ 310</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2014 Activity Unit Expense
1602/2602/3602	PCT search fee - no prior U.S. application filed under 35 USC 111(a)	2.20 - Prepare PCT Search Report and Written Opinion (Contractor Effort)	\$ 35,532,097	19,546	\$ 1,818
		5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5
		5.06 - Perform Processing Section Functions	\$ 389,205	24,784	\$ 16
<b>Total</b>					<b>\$ 1,839</b>
1604/2604/3604	Supplemental search fee per additional invention	2.20 - Prepare PCT Search Report and Written Opinion (Contractor Effort)	\$ 35,532,097	19,546	\$ 1,818
		5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5
		5.06 - Perform Processing Section Functions	\$ 389,205	24,784	\$ 16
<b>Total</b>					<b>\$ 1,839</b>
1621/2621/3621	Transmitting application to Intl. Bureau to act as receiving office	5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5
<b>Total</b>					<b>\$ 5</b>
1605/2605/3605	Preliminary examination fee - U.S. was the ISA	2.08 - Prepare All Subsequent Actions	\$ 315,602,979	753,895	\$ 419
		2.09 - Perform Subsequent Search	\$ 245,738,331	753,895	\$ 326
		5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5
		5.04 - Chapter II Formalities Review	\$ 74,413	1,203	\$ 62
		5.06 - Perform Processing Section Functions	\$ 389,205	24,784	\$ 16
<b>Total</b>					<b>\$ 827</b>
1606/2606/3606	Preliminary examination fee -U.S. was not the ISA	2.08 - Prepare All Subsequent Actions	\$ 315,602,979	753,895	\$ 419
		2.09 - Perform Subsequent Search	\$ 245,738,331	753,895	\$ 326
		5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5
		5.04 - Chapter II Formalities Review	\$ 74,413	1,203	\$ 62
		5.06 - Perform Processing Section Functions	\$ 389,205	24,784	\$ 16
<b>Total</b>					<b>\$ 827</b>
1607/2607/3607	Supplemental examination fee per additional invention	2.08 - Prepare All Subsequent Actions	\$ 315,602,979	753,895	\$ 419
		2.09 - Perform Subsequent Search	\$ 245,738,331	753,895	\$ 326
		5.03 - Copy and Mailings	\$ 713,655	135,006	\$ 5
		5.04 - Chapter II Formalities Review	\$ 74,413	1,203	\$ 62
		5.06 - Perform Processing Section Functions	\$ 389,205	24,784	\$ 16
<b>Total</b>					<b>\$ 827</b>
1453/2453/3453	Petition to revive unintentionally abandoned application	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,722,910	17,632	\$ 98
<b>Total</b>					<b>\$ 211</b>
1454/2454/3454	Acceptance of an unintentionally delayed claim for priority	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,722,910	17,632	\$ 98
<b>Total</b>					<b>\$ 211</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2014 Activity Unit Expense
F1464	Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,722,910	17,632	\$ 98
<b>Total</b>					<b>\$ 211</b>
1463/2463/3463	Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,722,910	17,632	\$ 98
<b>Total</b>					<b>\$ 211</b>
1464/2464/3464	Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
<b>Total</b>					<b>\$ 113</b>
1455/2455/3455	Filing an application for patent term adjustment	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
<b>Total</b>					<b>\$ 113</b>
1456/2456/3456	Request for reinstatement of term reduced	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
<b>Total</b>					<b>\$ 113</b>
1457/2457/3457	Extension of term of patent	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
<b>Total</b>					<b>\$ 113</b>
1458/2458/3458	Initial Application for Interim Extension	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
<b>Total</b>					<b>\$ 113</b>
1459/2459/3459	Subsequent application for interim extension (see 37 CFR 1.790)	2.24 - Petitions	\$ 4,558,643	40,212	\$ 113
<b>Total</b>					<b>\$ 113</b>
1405 (all Petition fees)	Petitions to the Chief Administrative Patent Judge under 37 CFR 41.3	Petitions Activities (Non-AIA, Non- Oral Hearing)	\$ 780,098	164	\$ 4,757
		Fee processing Activity	\$ 303,453	53,384	\$ 6
<b>Total</b>					<b>\$ 4,762</b>
1401/2401/3401	Notice of appeal	Review Ex Parte Appeal Briefs	\$ 518,673	19,159	\$ 27
		Fee processing Activity	\$ 303,453	53,384	\$ 6
<b>Total</b>					<b>\$ 33</b>
1401/2401/3401	Notice of appeal	Review Ex Parte Reexam Appeal Briefs	\$ 20,974	127	\$ 165
		Fee processing Activity	\$ 303,453	53,384	\$ 6
<b>Total</b>					<b>\$ 171</b>
1404/2404/3404	Filing a brief in support of an appeal in an inter partes reexamination proceeding	Process EWF and Docket Inter Partes Reexam Appeal	\$ 116,139	238	\$ 488
		Paneling Activities	\$ 524,288	5,576	\$ 94
		Prepare Inter Partes Re-exam Decision	\$ 3,851,733	247	\$ 15,594
		Process Inter Partes Reexam Decision for Final Disposition	\$ 49,967	247	\$ 202
		Prepare decision in Inter Partes Re-Exam Appeals Request for Re-prosecution	\$ 39,782	247	\$ 161
		Fee processing Activity	\$ 303,453	53,384	\$ 6
<b>Total</b>					<b>\$ 16,545</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2014 Activity Unit Expense
1413/2413/3413	Forwarding an appeal in an application or ex parte reexamination proceeding to the Board	Process EWF and Docket Ex Parte Reexam Appeal	\$ -	126	\$ -
		Paneling Activities	\$ 524,288	5,576	\$ 94
		Process Ex Parte Reexam Decision for Final Disposition	\$ 18,431	121	\$ 152
		Prepare Ex Parte Reexam Decision	\$ 938,118	121	\$ 7,753
		Fee processing Activity	\$ 303,453	53,384	\$ 6
<b>Total</b>					<b>\$ 8,005</b>
1406	Inter partes review request fee - Up to 20 claims	IPR: Reconcile Petitions	\$ 209,118	1,310	\$ 160
		IPR: All Pre-institution Activities Except Disc. Disputes	\$ 14,154,399	755	\$ 18,748
		IPR: Process Pre-Institution Documents	\$ 1,177,599	755	\$ 1,560
<b>Total</b>					<b>\$ 20,467</b>
1414	Inter partes review post-institution fee - Up to 15 claims	Oral Hearings Activities	\$ 1,426,444	864	\$ 1,651
		IPR: All Post-institution Activities Except Disc. Disputes	\$ 4,770,577	364	\$ 13,106
		IPR: Process Post-Institution Documents	\$ 184,106	364	\$ 506
<b>Total</b>					<b>\$ 15,263</b>
1403/2403/3403	Request for oral hearing - Ex Parte Appeal (EPA)	Oral Hearings Activities	\$ 1,426,444	864	\$ 1,651
		Fee processing Activity	\$ 303,453	53,384	\$ 6
<b>Total</b>					<b>\$ 1,657</b>
1413/2413/3413	Forwarding an appeal in an application or ex parte reexamination proceeding to the Board- EPA	Process EWF and Docket Ex Parte Appeals	\$ 2,093,860	9,585	\$ 218
		Paneling Activities	\$ 524,288	5,576	\$ 94
		Process Ex Parte Appeals Decision for Final Disposition	\$ 2,655,656	9,489	\$ 280
		CRU on Detail to PTAB Activity	\$ 3,415,267	9,489	\$ 360
		Prepare Ex Parte Appeals Decision	\$ 35,847,366	9,489	\$ 3,778
		Fee processing Activity	\$ 303,453	53,384	\$ 6
<b>Total</b>					<b>\$ 4,736</b>
4011	Basic filing fee - Utility (electronic filing for small entities)	1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2
		1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36
		1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69
		1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4
		1.05 - Second-level Security Screening and L&R Processing	\$ 558,199	593,435	\$ 1
		1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5
		2.01 - Tech Support Application Process	\$ 42,088,739	443,496	\$ 95
		2.19 - Paralegal PCT Review (Patent Legal Research Center)- Exam	\$ 350,000	398,985	\$ 1
		2.02 - Classification and Assignment by Art Unit	\$ 7,687,994	443,496	\$ 17
<b>Total</b>					<b>\$ 257</b>
N/A	Publication fee for early, voluntary, or normal publication	9.02 - PG Pub and Initial Classification	\$ 16,281,680	406,162	\$ 40
		9.03 (includes 9.01) - PG Pub Monitoring, Tracking, and Publishing of PG Pub Activities	\$ 59,428,887	381,936	\$ 156
<b>Total</b>					<b>\$ 196</b>

Note: Activity 1.02 - Process Application Fees includes 4.01 Support Patent Maintenance System expense. Activity 2.01 - Tech Support Application Process includes 2.16 Quality Review of Tech Support Staff expense.

**Method I. Total Activity Unit Expense -- FY 2013 Fee Unit Expenses**

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2013 Activity Unit Expense
1011/2011/3011	Basic filing fee - Utility	1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2
1012/2012/3012	Basic filing fee - Design	1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36
1013/2013/3013	Basic filing fee - Plant	1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67
		1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3
		1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1
		1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4
		2.01 - Tech Support Application Process	\$ 39,812,480	437,537	\$ 91
		2.02 - Classification and Assignment by Art Unit	\$ 8,134,555	437,537	\$ 19
<b>Total</b>					<b>\$ 262</b>
1014/2014/3014	Basic filing fee - Reissue	1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2
		1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36
		1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67
		1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3
		1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4
		2.01 - Tech Support Application Process	\$ 39,812,480	437,537	\$ 91.0
2.02 - Classification and Assignment by Art Unit	\$ 8,134,555	437,537	\$ 19		
<b>Total</b>					<b>\$ 260</b>
1005/2005/3005	Provisional application filing fee	1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2
		1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36
		1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67
		1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3
		1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1
		1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4
<b>Total</b>					<b>\$ 152</b>
1501/2501/3501	Utility issue fee	3.01 - Initial Data Capture	\$ 57,831,938	324,089	\$ 178
1502/2502/3502	Design issue fee	3.02 - Final Data Capture	\$ 19,993,450	293,532	\$ 68
1503/2503/3503	Plant issue fee	3.03 - Quality Control and Tracking Activities	\$ 2,710,395	324,089	\$ 8
1511/2511/3511	Reissue issue fee	3.04 - Print and Assembly Patent for Publication	\$ 2,912,522	291,107	\$ 10
		3.05 - Quality Assurance - Database Inspection	\$ 1,531,629	291,107	\$ 5
<b>Total</b>					<b>\$ 270</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2013 Activity Unit Expense
1505/2505/3505	Publication fee for republication	9.03 (includes 9.01) - PG Pub Monitoring, Tracking, and Publishing of PG Pub Activities	\$ 50,910,567	339,668	\$ 150
<b>Total</b>					<b>\$ 150</b>
1558/2558/3558	Surcharge after expiration - Late payment is unintentional	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
1808	Processing fee, except in provisional applications	1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39
<b>Total</b>					<b>\$ 39</b>
1807	Processing fee for provisional applications	1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39
<b>Total</b>					<b>\$ 39</b>
1803	Request for voluntary publication or republication	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
1802/2802/3802	Request for expedited examination of a design application	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
1811	Certificate of correction	3.06 - Quality Assurance - Certificates of Correction	\$ 1,711,864	24,776	\$ 69
<b>Total</b>					<b>\$ 69</b>
1631/2631/3631	Basic National Stage Fee	1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2
		1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36
		1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67
		1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3
		1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4
		2.01 - Tech Support Application Process	\$ 39,812,480	437,537	\$ 91
		2.02 - Classification and Assignment by Art Unit	\$ 8,134,555	437,537	\$ 19
		5.01 - Application Initiation	\$ 2,179,377	131,017	\$ 17
		5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
		5.07 - Process US National Stage Application	\$ 6,482,013	73,488	\$ 88
<b>Total</b>					<b>\$ 338</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2013 Activity Unit Expense
1601/2601/3601	Transmittal fee	1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2
		1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36
		1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67
		1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3
		1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1
		2.19 - Paralegal PCT Review (Patent Legal Research Center)	\$ 464,438	55,950	\$ 8
		2.21 - Prepare PCT Search Report (Contractor QR Effort/Contract Oversight)	\$ 1,288,156	14,641	\$ 88
		5.01 - Application Initiation	\$ 2,179,377	131,017	\$ 17
		5.02 - Chapter I Formalities Review	\$ 4,480,299	56,265	\$ 80
		5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
	5.06 - Perform Processing Section Functions	\$ 306,555	18,946	\$ 16	
	5.09 - Perform PCT File Maintenance	\$ 149	131,017	\$ 0	
<b>Total</b>					<b>\$ 328</b>
1602/2602/3602	PCT search fee - no prior U.S. application filed under 35 USC 111(a)	2.20 - Prepare PCT Search Report and Written Opinion (Contractor Effort)	\$ 28,577,230	14,641	\$ 1,952
		5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
		5.06 - Perform Processing Section Functions	\$ 306,555	18,946	\$ 16
<b>Total</b>					<b>\$ 1,979</b>
1604/2604/3604	Supplemental search fee per additional invention	2.20 - Prepare PCT Search Report and Written Opinion (Contractor Effort)	\$ 28,577,230	14,641	\$ 1,952
		5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
		5.06 - Perform Processing Section Functions	\$ 306,555	18,946	\$ 16
<b>Total</b>					<b>\$ 1,979</b>
1621/2621/3621	Transmitting application to Intl. Bureau to act as receiving office	5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
<b>Total</b>					<b>\$ 11</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2013 Activity Unit Expense
1605/2605/3605	Preliminary examination fee - U.S. was the ISA	2.08 - Prepare All Subsequent Actions	\$ 311,999,757	708,522	\$ 440
		2.09 - Perform Subsequent Search	\$ 212,686,135	708,522	\$ 300
		5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
		5.04 - Chapter II Formalities Review	\$ 71,973	1,264	\$ 57
		5.06 - Perform Processing Section Functions	\$ 306,555	18,946	\$ 16
<b>Total</b>					<b>\$ 824</b>
1606/2606/3606	Preliminary examination fee -U.S. was not the ISA	2.08 - Prepare All Subsequent Actions	\$ 311,999,757	708,522	\$ 440
		2.09 - Perform Subsequent Search	\$ 212,686,135	708,522	\$ 300
		5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
		5.04 - Chapter II Formalities Review	\$ 71,973	1,264	\$ 57
		5.06 - Perform Processing Section Functions	\$ 306,555	18,946	\$ 16
<b>Total</b>					<b>\$ 824</b>
1607/2607/3607	Supplemental examination fee per additional invention	2.08 - Prepare All Subsequent Actions	\$ 311,999,757	708,522	\$ 440
		2.09 - Perform Subsequent Search	\$ 212,686,135	708,522	\$ 300
		5.03 - Copy and Mailings	\$ 1,406,380	131,017	\$ 11
		5.04 - Chapter II Formalities Review	\$ 71,973	1,264	\$ 57
		5.06 - Perform Processing Section Functions	\$ 306,555	18,946	\$ 16
<b>Total</b>					<b>\$ 824</b>
1453/2453/3453	Petition to revive unintentionally abandoned application	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,795,163	9,355	\$ 192
<b>Total</b>					<b>\$ 307</b>
1454/2454/3454	Acceptance of an unintentionally delayed claim for priority	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,795,163	9,355	\$ 192
<b>Total</b>					<b>\$ 307</b>
1462/2462/3462	Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,795,163	9,355	\$ 192
<b>Total</b>					<b>\$ 307</b>
1463/2463/3463	Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
		5.10 - Petitions (International and 371) - PCT Legal	\$ 1,795,163	9,355	\$ 192
<b>Total</b>					<b>\$ 307</b>
1464/2464/3464	Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
1455/2455/3455	Filing an application for patent term adjustment	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expense	Workload Volume	FY2013 Activity Unit Expense
1456/2456/3456	Request for reinstatement of term reduced	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
1457/2457/3457	Extension of term of patent	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
1458/2458/3458	Initial Application for Interim Extension	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
1459/2459/3459	Subsequent application for interim extension (see 37 CFR 1.790)	2.24 - Petitions	\$ 4,264,876	37,114	\$ 115
<b>Total</b>					<b>\$ 115</b>
4011	Basic filing fee - Utility (electronic filing for small entities)	1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2
		1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36
		1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67
		1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3
		1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1
		1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39
		1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4
		2.01 - Tech Support Application Process	\$ 39,812,480	437,537	\$ 91
		2.02 - Classification and Assignment by Art Unit	\$ 8,134,555	437,537	\$ 19
<b>Total</b>					<b>\$ 262</b>
N/A	Publication fee for early, voluntary,	9.02 - PG Pub and Initial Classification	\$ 18,172,365	401,528	\$ 45
		9.03 (includes 9.01) - PG Pub Monitoring, Tracking, and Publishing of PG Pub Activities	\$ 50,910,567	339,668	\$ 150
<b>Total</b>					<b>\$ 195</b>

Note: Activity 1.02 - Process Application Fees includes 4.01 Support Patent Maintenance System expense. Activity 2.01 - Tech Support Application Process includes 2.16 Quality Review of Tech Support Staff expense.

Additional detail for fee unit expenses using the "II. Total Activity Unit Expense Adjusted for Frequency of Occurrence" method, as described in SECTION 5: FEE UNIT EXPENSE CALCULATION of the *USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology* document.

**Method II. Total Activity Unit Expense Adjusted for Frequency of Occurrence -- FY 2015 Fee Unit Expenses**

Fee Code	Fee Code Description	Patent Activity		Activity Unit Expense	Frequency Factor Adjustment	FY 2015 Final Adjusted Unit Cost	
		Activity Expenses	Workload Volume				
1017/2017/3017	Basic filing fee - Design (CPA)	2.03 - Analyze New Application	\$ 4,805,228	32,821	\$ 146	0.0%	\$ -
1019/2019/3019	Basic filing fee - Design Reissue (CPA)	2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 649,307	4,032	\$ 161	0.5%	\$ 1
		2.05 - Perform Initial Search	\$ 10,307,730	32,821	\$ 314	0.0%	\$ -
		2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 6,555,274	32,821	\$ 200	0.0%	\$ -
		2.07 - Consider IDS (Information Disclosure Statement)	\$ 1,528,945	12,834	\$ 119	0.0%	\$ -
		2.08 - Prepare All Subsequent Actions	\$ 5,903,763	12,959	\$ 456	122.5%	\$ 558
		2.09 - Perform Subsequent Search	\$ 2,992,595	12,959	\$ 231	122.5%	\$ 283
		2.10 - Prepare After Final Response	\$ 433,881	558	\$ 778	1.3%	\$ 10
		2.11 - Applicant Interviews	\$ 289,746	705	\$ 411	6.5%	\$ 27
		2.12 - Internal Deliberations	\$ 16,406	193	\$ 85	1.3%	\$ 1
		2.13 - Communications to the Board of Appeals	\$ 57,870	39	\$ 1,484	0.3%	\$ 5
<b>Total</b>					<b>\$ 4,384</b>		<b>\$ 884</b>
1111/2111/3111	Utility Search Fee	2.05 - Perform Initial Search	\$ 664,944,481	445,361	\$ 1,493	97.0%	\$ 1,448
1113/2113/3113	Plant Search Fee	2.09 - Perform Subsequent Search	\$ 262,798,012	745,410	\$ 353	92.0%	\$ 324
1114/2114/3114	Reissue Search Fee						
1642/2642/3642	National Stage Search Fee - Search Report Prepared and Provided to USPTO						
1632/2632/3632	National Stage Search Fee - All Other Situations						
<b>Total</b>					<b>\$ 1,846</b>		<b>\$ 1,773</b>
1112/2112/3112	Design Search Fee	2.05 - Perform Initial Search	\$ 10,307,730	32,821	\$ 314	98.2%	\$ 308
		2.09 - Perform Subsequent Search	\$ 2,992,595	12,959	\$ 231	38.4%	\$ 89
<b>Total</b>					<b>\$ 545</b>		<b>\$ 397</b>
1641/2641/3641	National Stage Search Fee - U.S. Was the ISA	2.09 - Perform Subsequent Search	\$ 262,798,012	745,410	\$ 353	91.9%	\$ 324
N/A	National Stage Search Fee - U.S. was ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)						
<b>Total</b>					<b>\$ 353</b>		<b>\$ 324</b>

		Patent Activity			Frequency	FY 2015 Final
Fee Code	Fee Code Description	Activity Expenses	Workload Volume	Activity Unit Expense	Factor Adjustment	Adjusted Unit Cost
1311/2311/3311	Utility Examination Fee	2.03 - Analyze New Application	\$ 253,226,635	445,361	\$ 569	97.0% \$ 551
1313/2313/3313	Plant Examination Fee	2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,535,454	90,561	\$ 161	19.3% \$ 31
1314/2314/3314	Reissue Examination Fee	2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 397,410,577	445,361	\$ 892	97.0% \$ 865
1633/2633/3633	National Stage Examination Fee - All Other Situations	2.07 - Consider IDS (Information Disclosure Statement)	\$ 94,355,681	495,768	\$ 190	95.8% \$ 182
N/A	National Stage Examination Fee - U.S. was ISA or IPEA and, all claims satisfy PCT Article 33(1)-(4)	2.08 - Prepare All Subsequent Actions	\$ 341,309,049	745,410	\$ 458	92.0% \$ 421
		2.10 - Prepare After Final Response	\$ 56,470,050	93,382	\$ 605	5.3% \$ 32
		2.11 - Applicant Interviews	\$ 36,893,244	213,906	\$ 172	53.3% \$ 92
		2.12 - Internal Deliberations	\$ 3,124,516	19,654	\$ 159	3.3% \$ 5
		2.13 - Communications to the Board of Appeals	\$ 12,800,090	9,151	\$ 1,399	1.7% \$ 24
<b>Total</b>					<b>\$ 4,605</b>	<b>\$ 2,205</b>
1312/2312/3312	Design Examination Fee	2.03 - Analyze New Application	\$ 4,805,228	32,821	\$ 146	98.2% \$ 144
		2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 649,307	4,032	\$ 161	10.6% \$ 17
		2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 6,555,274	32,821	\$ 200	98.2% \$ 196
		2.07 - Consider IDS (Information Disclosure Statement)	\$ 1,528,945	12,834	\$ 119	38.5% \$ 46
		2.08 - Prepare All Subsequent Actions	\$ 5,903,763	12,959	\$ 456	38.4% \$ 175
		2.10 - Prepare After Final Response	\$ 433,881	558	\$ 778	1.6% \$ 12
		2.11 - Applicant Interviews	\$ 289,746	705	\$ 411	3.8% \$ 15
		2.12 - Internal Deliberations	\$ 16,406	193	\$ 85	0.4% \$ 0
		2.13 - Communications to the Board of Appeals	\$ 57,870	39	\$ 1,484	0.1% \$ 2
<b>Total</b>					<b>\$ 3,839</b>	<b>\$ 608</b>

Note: Sum activities associated with Designs fee codes and activities associated with Utility, Plant and Reissue fee codes to reconcile expense totals at the activity level with those shown in Table 1 of the *USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology* document.

**Method II. Total Activity Unit Expense Adjusted for Frequency of Occurrence -- FY 2014 Fee Unit Expenses**

Fee Code	Fee Code Description	Patent Activity		Workload Volume	Activity Unit Expense	Frequency Factor Adjustment	FY 2014 Final Adjusted Unit Cost
		Activity Expenses					
1017/2017/3017	Basic filing fee - Design (CPA)	2.03 - Analyze New Application	\$ 3,923,953	27,672	\$ 142	0.0%	\$ -
1019/2019/3019	Basic filing fee - Design Reissue (CPA)	2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 457,490	3,012	\$ 152	0.4%	\$ 1
		2.05 - Perform Initial Search	\$ 10,030,154	27,672	\$ 362	0.0%	\$ -
		2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 5,367,392	27,672	\$ 194	0.0%	\$ -
		2.07 - Consider IDS (Information Disclosure Statement)	\$ 1,308,109	11,070	\$ 118	0.0%	\$ -
		2.08 - Prepare All Subsequent Actions	\$ 4,302,747	9,819	\$ 438	118.3%	\$ 518
		2.09 - Perform Subsequent Search	\$ 1,880,908	9,819	\$ 192	118.3%	\$ 227
		2.10 - Prepare After Final Response	\$ 258,351	467	\$ 553	2.5%	\$ 14
		2.11 - Applicant Interviews	\$ 222,974	1,017	\$ 219	1.9%	\$ 4
		2.12 - Internal Deliberations	\$ 7,733	118	\$ 66	0.9%	\$ 1
			2.13 - Communications to the Board of Appeals	\$ 29,750	17	\$ 1,750	0.4%
<b>Total</b>					<b>\$ 4,186</b>		<b>\$ 772</b>
1111/2111/3111	Utility Search Fee	2.05 - Perform Initial Search	\$ 650,412,292	371,313	\$ 1,752	97.3%	\$ 1,705
1113/2113/3113	Plant Search Fee	2.09 - Perform Subsequent Search	\$ 243,857,423	744,076	\$ 328	96.2%	\$ 315
1114/2114/3114	Reissue Search Fee						
1642/2642/3642	National Stage Search Fee - Search Report Prepared and Provided to USPTO						
1632/2632/3632	National Stage Search Fee - All Other Situations						
<b>Total</b>					<b>\$ 2,079</b>		<b>\$ 2,020</b>
1112/2112/3112	Design Search Fee	2.05 - Perform Initial Search	\$ 10,030,154	27,672	\$ 362	98.6%	\$ 357
		2.09 - Perform Subsequent Search	\$ 1,880,908	9,819	\$ 192	35.4%	\$ 68
<b>Total</b>					<b>\$ 554</b>		<b>\$ 425</b>
1641/2641/3641	National Stage Search Fee - U.S. Was the ISA	2.09 - Perform Subsequent Search	\$ 243,857,423	744,076	\$ 328	91.6%	\$ 300
N/A	National Stage Search Fee - U.S. was ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)						
<b>Total</b>					<b>\$ 328</b>		<b>\$ 300</b>

Fee Code	Fee Code Description	Patent Activity		Workload Volume	Activity Unit Expense	Frequency Factor Adjustment	FY 2014 Final Adjusted Unit Cost
		Activity Expenses					
1311/2311/3311	Utility Examination Fee	2.03 - Analyze New Application	\$ 227,563,738	371,313	\$ 613	97.3%	\$ 597
1313/2313/3313	Plant Examination Fee	2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 12,391,834	80,542	\$ 154	21.7%	\$ 33
1314/2314/3314	Reissue Examination Fee	2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 344,249,727	371,313	\$ 927	97.3%	\$ 902
1633/2633/3633	National Stage Examination Fee - All Other Situations	2.07 - Consider IDS (Information Disclosure Statement)	\$ 86,170,569	492,668	\$ 175	96.3%	\$ 168
N/A	National Stage Examination Fee - U.S. was ISA or IPEA and, all claims satisfy PCT Article 33(1)-(4)	2.08 - Prepare All Subsequent Actions	\$ 311,300,232	744,076	\$ 418	96.2%	\$ 402
		2.10 - Prepare After Final Response	\$ 46,394,807	94,865	\$ 489	6.2%	\$ 30
		2.11 - Applicant Interviews	\$ 32,985,263	372,584	\$ 89	46.7%	\$ 41
		2.12 - Internal Deliberations	\$ 3,325,683	22,070	\$ 151	3.2%	\$ 5
		2.13 - Communications to the Board of Appeals	\$ 10,339,590	10,884	\$ 950	1.6%	\$ 15
<b>Total</b>					<b>\$ 3,965</b>		<b>\$ 2,195</b>
1312/2312/3312	Design Examination Fee	2.03 - Analyze New Application	\$ 3,923,953	27,672	\$ 142	98.6%	\$ 140
		2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 457,490	3,012	\$ 152	9.8%	\$ 15
		2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 5,367,392	27,672	\$ 194	98.6%	\$ 191
		2.07 - Consider IDS (Information Disclosure Statement)	\$ 1,308,109	11,070	\$ 118	39.3%	\$ 46
		2.08 - Prepare All Subsequent Actions	\$ 4,302,747	9,819	\$ 438	35.4%	\$ 155
		2.10 - Prepare After Final Response	\$ 258,351	467	\$ 553	1.5%	\$ 8
		2.11 - Applicant Interviews	\$ 222,974	1,017	\$ 219	3.5%	\$ 8
		2.12 - Internal Deliberations	\$ 7,733	118	\$ 66	0.4%	\$ 0
<b>Total</b>					<b>\$ 1,750</b>	<b>0.1%</b>	<b>\$ 2</b>
<b>Total</b>					<b>\$ 3,632</b>		<b>\$ 566</b>

Note: Sum activities associated with Designs fee codes and activities associated with Utility, Plant and Reissue fee codes to reconcile expense totals at the activity level with those shown in Table 1 of the *USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology* document.

**Method II. Total Activity Unit Expense Adjusted for Frequency of Occurrence -- FY 2013 Fee Unit Expenses**

Fee Code	Fee Code Description	Patent Activity	Activity Expenses	Workload Volume	Activity Unit Expense	Frequency Factor Adjustment	FY 2013 Final Adjusted Unit Cost
1017/2017/3017	Basic filing fee - Design (CPA)	2.03 - Analyze New Application	\$ 3,003,064	26,935	\$ 111	0.0%	\$ -
1019/2019/3019	Basic filing fee - Design Reissue (CPA)	2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 384,013	2,556	\$ 150	1.2%	\$ 2
		2.05 - Perform Initial Search	\$ 8,224,885	26,935	\$ 305	0.0%	\$ -
		2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 5,982,667	26,935	\$ 222	0.0%	\$ -
		2.07 - Consider IDS (Information Disclosure Statement)	\$ 1,487,673	11,980	\$ 124	5.5%	\$ 7
		2.08 - Prepare All Subsequent Actions	\$ 4,129,213	9,431	\$ 438	116.8%	\$ 511
		2.09 - Perform Subsequent Search	\$ 1,409,980	9,431	\$ 150	116.8%	\$ 175
		2.10 - Prepare After Final Response	\$ 273,808	431	\$ 635	0.7%	\$ 4
		2.11 - Applicant Interviews	\$ 233,641	976	\$ 239	1.4%	\$ 3
		2.12 - Internal Deliberations	\$ 5,175	96	\$ 54	1.7%	\$ 1
		2.13 - Communications to the Board of Appeals	\$ 24,632	31	\$ 795	0.5%	\$ 4
<b>Total</b>					<b>\$ 3,224</b>		<b>\$ 707</b>
1111/2111/3111	Utility Search Fee	2.05 - Perform Initial Search	\$ 571,049,948	415,522	\$ 1,374	96.9%	\$ 1,331
1113/2113/3113	Plant Search Fee	2.09 - Perform Subsequent Search	\$ 211,276,155	699,091	\$ 302	90.0%	\$ 272
1114/2114/3114	Reissue Search Fee						
1642/2642/3642	National Stage Search Fee - Search Report Prepared and Provided to USPTO						
1632/2632/3632	National Stage Search Fee - All Other Situations						
<b>Total</b>					<b>\$ 1,677</b>		<b>\$ 1,603</b>
1112/2112/3112	Design Search Fee	2.05 - Perform Initial Search	\$ 8,224,885	26,935	\$ 305	99.0%	\$ 302
		2.09 - Perform Subsequent Search	\$ 1,409,980	9,431	\$ 150	34.6%	\$ 52
<b>Total</b>					<b>\$ 455</b>		<b>\$ 354</b>
1641/2641/3641	National Stage Search Fee - U.S. Was the ISA	2.09 - Perform Subsequent Search	\$ 211,276,155	699,091	\$ 302	90.0%	\$ 272
N/A	National Stage Search Fee - U.S. was ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)						
<b>Total</b>					<b>\$ 302</b>		<b>\$ 272</b>

Fee Code	Fee Code Description	Patent Activity	Activity Expenses	Workload Volume	Activity Unit Expense	Frequency Factor Adjustment	FY 2013 Final Adjusted Unit Cost
1311/2311/3311	Utility Examination Fee	2.03 - Analyze New Application	\$ 211,871,954	415,522	\$ 510	96.9%	\$ 494
1313/2313/3313	Plant Examination Fee	2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,251,290	97,538	\$ 146	22.5%	\$ 33
1314/2314/3314	Reissue Examination Fee	2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 336,887,728	415,522	\$ 811	96.9%	\$ 785
1633/2633/3633	National Stage Examination Fee - All Other Situations	2.07 - Consider IDS (Information Disclosure Statement)	\$ 84,646,457	517,582	\$ 164	90.5%	\$ 148
N/A	National Stage Examination Fee - U.S. was ISA or IPEA and, all claims satisfy PCT Article 33(1)-(4)	2.08 - Prepare All Subsequent Actions	\$ 307,870,543	699,091	\$ 440	90.0%	\$ 396
		2.10 - Prepare After Final Response	\$ 45,897,515	80,154	\$ 573	5.6%	\$ 32
		2.11 - Applicant Interviews	\$ 27,462,416	264,271	\$ 104	25.3%	\$ 26
		2.12 - Internal Deliberations	\$ 3,062,454	21,257	\$ 144	32.6%	\$ 47
		2.13 - Communications to the Board of Appeals	\$ 11,526,109	10,867	\$ 1,061	1.8%	\$ 19
<b>Total</b>					<b>\$ 3,952</b>		<b>\$ 1,981</b>
1312/2312/3312	Design Examination Fee	2.03 - Analyze New Application	\$ 3,003,064	26,935	\$ 111	99.0%	\$ 110
		2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 384,013	2,556	\$ 150	8.5%	\$ 13
		2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 5,982,667	26,935	\$ 222	99.0%	\$ 220
		2.07 - Consider IDS (Information Disclosure Statement)	\$ 1,487,673	11,980	\$ 124	38.5%	\$ 48
		2.08 - Prepare All Subsequent Actions	\$ 4,129,213	9,431	\$ 438	34.6%	\$ 152
		2.10 - Prepare After Final Response	\$ 273,808	431	\$ 635	1.5%	\$ 9
		2.11 - Applicant Interviews	\$ 233,641	976	\$ 239	2.5%	\$ 6
		2.12 - Internal Deliberations	\$ 5,175	96	\$ 54	3.4%	\$ 2
		2.13 - Communications to the Board of Appeals	\$ 24,632	31	\$ 795	0.1%	\$ 1
<b>Total</b>					<b>\$ 2,769</b>		<b>\$ 560</b>

Note: Sum activities associated with Designs fee codes and activities associated with Utility, Plant and Reissue fee codes to reconcile expense totals at the activity level with those shown in Table 1 of the *USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology* document.

**Additional detail for fee unit expenses using the "IV. Similar Work Estimation" method, as described in SECTION 5: FEE UNIT EXPENSE CALCULATION of the USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology document.**

**Method IV. Similar Work Estimation -- FY 2013-FY 2015**

**1412 Petition for a Derivation Proceeding**

The activities for interferences are used to estimate the expense of a Derivations proceeding.

Activity Category	Patent Activity	Activity Expense	Workload Volume	Activity Unit Expense	Total
FY15	Oral Hearings Activities	\$ 1,726,126	1,169	\$ 1,477	
	Declaration of Interference	\$ 110,051	22	\$ 5,002	
	Paneling Activities	\$ 735,051	9,972	\$ 74	
	Process Interferences	\$ 95,411	31	\$ 3,078	
	Decide Motions in Interferences	\$ 1,311,679	31	\$ 42,312	
	Fee processing Activity	\$ 321,099	51,630	\$ 6	
Total				\$ 51,949	\$ 51,949
FY14	Oral Hearings Activities	\$ 1,426,444	864	\$ 1,651	
	Declaration of Interference	\$ 67,980	35	\$ 1,942	
	Paneling Activities	\$ 524,288	5,576	\$ 94	
	Process Interferences	\$ 100,848	53	\$ 1,903	
	Decide Motions in Interferences	\$ 985,627	53	\$ 18,597	
	Fee processing Activity	\$ 303,453	53,384	\$ 6	
Total				\$ 24,193	\$ 24,193

This fee expense was not calculated in FY13.

**1408 Post-Grant or Covered Business Method Review Request Fee - Up to 20 Claims (Post Grant Review)**

The cost build up for fee code 1408 Covered Business Methods is used to estimate the expense of a Post Grant Review proceeding. Reference Method III. Expense Build Up for details.

**1416 Post-Grant or Covered Business Method Review Post-Institution Fee - Up to 15 Claims (Post Grant Review)**

The cost build up for fee code 1416 Covered Business Methods is used to estimate the expense of a Post Grant Review proceeding. Reference Method III. Expense Build Up for details.

**Additional detail for fee unit expenses using the "III. Expense Build-up" method, as described in SECTION 5: FEE UNIT EXPENSE CALCULATION of the *USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology* document.**

**Method III. Expense Build-up -- FY 2013-FY 2015**

**1408 Post-Grant or Covered Business Method Review Request Fee - Up to 20 Claims (Covered Business Method)**

<b>Fiscal Year</b>	<b>Position</b>	<b>Fully Burdened Hourly Rate</b>	<b>Number of Hours Performing Task</b>	<b>Expense Per Position</b>	<b>Subtotal</b>	<b>Additional Activities Expense*</b>	<b>Total Fee Unit Expense</b>
FY15	Paralegal	\$ 95	10	\$ 951	\$ 16,212	\$ 1	\$ 16,213
	Administrative Patent Judge	\$ 218	70	\$ 15,261			
FY14	Paralegal	\$ 87	11	\$ 935	\$ 23,583	\$ 6	\$ 23,589
	Administrative Patent Judge	\$ 201	112	\$ 22,648			

This fee expense was not calculated in FY13.

\*Includes Fee Processing Activity. Reference Method I. Total Activity Unit Expense for details.

**1416 Post-Grant or Covered Business Method Review Post-Institution Fee - Up to 15 Claims (Covered Business Method)**

<b>Fiscal Year</b>	<b>Position</b>	<b>Fully Burdened Hourly Rate</b>	<b>Number of Hours Performing Task</b>	<b>Expense Per Position</b>	<b>Subtotal</b>	<b>Additional Activities Expense*</b>	<b>Total Fee Unit Expense</b>
FY15	Paralegal	\$ 95	10	\$ 986	\$ 21,583	\$ 1,477	\$ 23,060
	Administrative Patent Judge	\$ 218	95	\$ 20,597			
FY14	Paralegal	\$ 87	9	\$ 801	\$ 33,065	\$ 1,657	\$ 34,721
	Administrative Patent Judge	\$ 201	160	\$ 32,264			

This fee expense was not calculated in FY13.

\*Includes Fee Processing Activity and Oral Hearings Activities. Reference Methods I. Total Activity Unit Expense and V. Hybrid - Expense Build-up and Activity Unit Expense, respectively, for details.

**9001 Application fee (non-refundable)**

<b>Fiscal Year</b>	<b>Position</b>	<b>Fully Burdened Hourly Rate</b>	<b>Number of Hours Performing Task</b>	<b>Expense Per Position</b>	<b>Total Fee Unit Expense</b>
FY15	Attorney Advisor	\$ 172	1.00	\$ 172	\$ 225
	Enrollment Program Specialist	\$ 107	0.50	\$ 54	
FY14	Attorney Advisor	\$ 148	1.00	\$ 148	\$ 194
	Enrollment Program Specialist	\$ 92	0.50	\$ 46	

This fee expense was not calculated in FY13.

### 9003 On registration to practice under §11.6

Fiscal Year	Position	Fully Burdened Hourly Rate	Number of Hours		Expense Per Position	Total Fee Unit Expense
			Performing Task			
FY15	SES Level Attorney	\$ 285	0.75		\$ 214	\$ 493
	Senior Level Attorney	\$ 264	0.08		\$ 22	
	Attorney Advisor/Patent Attorney	\$ 201	0.75		\$ 151	
	Enrollment Program Specialist	\$ 107	1.00		\$ 107	
FY14	SES Level Attorney	\$ 248	0.75		\$ 186	\$ 427
	Senior Level Attorney	\$ 229	0.08		\$ 19	
	Attorney Advisor/Patent Attorney	\$ 173	0.75		\$ 130	
	Enrollment Program Specialist	\$ 92	1.00		\$ 92	

This fee expense was not calculated in FY13.

### 9004 Administrative reinstatement fee

Fiscal Year	Position	Fully Burdened Hourly Rate	Number of Hours		Expense Per Position	Total Fee Unit Expense
			Performing Task			
FY15	SES Level Attorney	\$ 285	1.50		\$ 428	\$ 940
	Senior Level Attorney	\$ 264	0.25		\$ 66	
	Attorney Advisor/Patent Attorney	\$ 201	2.00		\$ 402	
	Enrollment Program Specialist	\$ 107	0.42		\$ 45	
FY14	SES Level Attorney	\$ 248	1.50		\$ 371	\$ 813
	Senior Level Attorney	\$ 229	0.25		\$ 57	
	Attorney Advisor/Patent Attorney	\$ 173	2.00		\$ 346	
	Enrollment Program Specialist	\$ 92	0.42		\$ 38	

This fee expense was not calculated in FY13.

### 9005 Certificate of good standing as an attorney or agent, standard

Fiscal Year	Position	Fully Burdened Hourly Rate	Number of Hours		Expense Per Position	Total Fee Unit Expense
			Performing Task			
FY15	Enrollment Program Specialist	\$ 107	0.37		\$ 39	\$ 39
FY14	Enrollment Program Specialist	\$ 92	0.37		\$ 34	\$ 34

This fee expense was not calculated in FY13.

**9006 Certificate of good standing as an attorney or agent, suitable for framing**

Fiscal Year	Position	Number of Hours			
		Fully Burdened Hourly Rate	Performing Task	Expense Per Position	Total Fee Unit Expense
FY15	SES Level Attorney	\$ 285	0.03	\$ 10	\$ 49
	Enrollment Program Specialist	\$ 107	0.37	\$ 39	
FY14	SES Level Attorney	\$ 248	0.03	\$ 8	\$ 42
	Enrollment Program Specialist	\$ 92	0.37	\$ 34	

This fee expense was not calculated in FY13.

**9010 For test administration by commercial entity**

Fiscal Year	Position	Number of Hours			
		Fully Burdened Hourly Rate	Performing Task	Expense Per Position	Total Fee Unit Expense
FY15	Analyst	\$ 159	3.00	\$ 476	\$ 476
FY14	Analyst	\$ 137	3.00	\$ 410	\$ 410

This fee expense was not calculated in FY13.

**9011 For test administration by the USPTO**

Fiscal Year	Position	Number of Hours			
		Fully Burdened Hourly Rate	Performing Task	Expense Per Position	Total Fee Unit Expense
FY15	Attorney Advisor/Patent Attorney	\$ 201	1.00	\$ 201	\$ 676
	Analyst	\$ 159	3.00	\$ 476	
FY14	Attorney Advisor/Patent Attorney	\$ 173	1.00	\$ 173	\$ 584
	Analyst	\$ 137	3.00	\$ 410	

This fee expense was not calculated in FY13.

**9012 Review of decision by the Director of Enrollment and Discipline under §11.2(c)**

Fiscal Year	Position	Number of Hours		Expense Per Position	Total Fee Unit Expense
		Fully Burdened Hourly Rate	Performing Task		
FY15	SES Level Attorney	\$ 285	4.00	\$ 1,141	\$ 2,044
	Attorney Advisor/Patent Attorney	\$ 201	4.50	\$ 904	
FY14	SES Level Attorney	\$ 248	4.00	\$ 990	\$ 1,769
	Attorney Advisor/Patent Attorney	\$ 173	4.50	\$ 779	

This fee expense was not calculated in FY13.

**9013 Review of decision of the Director of Enrollment and Discipline under §11.2(d)**

Fiscal Year	Position	Number of Hours		Expense Per Position	Total Fee Unit Expense
		Fully Burdened Hourly Rate	Performing Task		
FY15	Senior Level Attorney	\$ 264	4.00	\$ 1,055	\$ 1,827
	Attorney Advisor	\$ 172	4.50	\$ 772	
FY14	Senior Level Attorney	\$ 229	4.00	\$ 915	\$ 1,581
	Attorney Advisor	\$ 148	4.50	\$ 666	

This fee expense was not calculated in FY13.

**9014 Application fee for person disciplined, convicted of a felony or certain misdemeanors under**

Fiscal Year	Position	Fully Burdened Hourly Rate	Number of Hours		Expense Per Position	Total Fee Unit Expense
			Performing Task			
FY15	SES Level Attorney	\$ 285	0.75		\$ 214	\$ 3,297
	Attorney Advisor/Patent Attorney	\$ 201	15.00		\$ 3,012	
	Enrollment Program Specialist	\$ 107	0.67		\$ 71	
FY14	SES Level Attorney	\$ 248	0.75		\$ 186	\$ 2,845
	Attorney Advisor/Patent Attorney	\$ 173	15.00		\$ 2,598	
	Enrollment Program Specialist	\$ 92	0.67		\$ 62	

This fee expense was not calculated in FY13.

**9020 Delinquency Fee**

Fiscal Year	Position	Fully Burdened Hourly Rate	Number of Hours		Expense Per Position	Total Fee Unit Expense
			Performing Task			
FY15	Attorney Advisor	\$ 134	1.00		\$ 134	\$ 173
	Enrollment Program Specialist	\$ 107	0.37		\$ 39	
FY14	Attorney Advisor	\$ 116	1.00		\$ 116	\$ 150
	Enrollment Program Specialist	\$ 92	0.37		\$ 34	

This fee expense was not calculated in FY13.

**9024 Unspecified other services, excluding labor**

		<b>Number of Hours</b>			
<b>Fiscal Year</b>	<b>Position</b>	<b>Fully Burdened Hourly Rate</b>	<b>Performing Task</b>	<b>Expense Per Position</b>	<b>Total Fee Unit Expense</b>
FY15	Enrollment Program Specialist	\$ 107	0.70	\$ 75	\$ 75
FY14	Enrollment Program Specialist	\$ 92	0.70	\$ 65	\$ 65

This fee expense was not calculated in FY13.

**9025 On change of registration from agent to attorney**

		<b>Number of Hours</b>			
<b>Fiscal Year</b>	<b>Position</b>	<b>Fully Burdened Hourly Rate</b>	<b>Performing Task</b>	<b>Expense Per Position</b>	<b>Total Fee Unit Expense</b>
FY15	Enrollment Program Specialist	\$ 107	0.75	\$ 80	\$ 80
FY14	Enrollment Program Specialist	\$ 92	0.75	\$ 69	\$ 69

This fee expense was not calculated in FY13.

**9029 For USPTO-administered review of registration examination**

		<b>Number of Hours</b>			
<b>Fiscal Year</b>	<b>Position</b>	<b>Fully Burdened Hourly Rate</b>	<b>Performing Task</b>	<b>Expense Per Position</b>	<b>Total Fee Unit Expense</b>
FY15	Analyst	\$ 159	3.25	\$ 515	\$ 515
FY14	Analyst	\$ 137	3.25	\$ 445	\$ 445

This fee expense was not calculated in FY13.

Additional detail for fee unit expenses using the "V. Hybrid - Expense Build-up and Activity Unit Expense" method, as described in SECTION 5: FEE UNIT EXPENSE CALCULATION of the *USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology* document.

**Method V. Hybrid - Expense Build-up and Activity Unit Expense -- FY 2013-FY 2015 Fee Unit Expense**

**1812/2812/3812 Request for Ex Parte Reexamination (EPR)**

<b>Fiscal Year</b>	<b>EPR Model Activity Total</b>	<b>Removal of Non Applicable Expenses*</b>	<b>Subtotal Fully Burdened EPR Expense</b>	<b>Total EPR Actions</b>	<b>Expenses Per Action</b>	<b>Average Actions per Terminated EPR</b>	<b>Subtotal</b>	<b>Processing Activities Expense**</b>	<b>Total Fee Unit EPR Expense</b>
FY15	\$ 11,771,013	\$ 1,005,070	\$ 10,765,943	2,992	\$ 3,598	6.4	\$ 22,906	\$ 381	\$ <b>23,288</b>
FY14	\$ 14,224,049	\$ 710,284	\$ 13,513,765	1,968	\$ 6,867	4.1	\$ 28,154	\$ 349	\$ <b>28,503</b>
FY13	\$ 15,177,507	\$ 1,521,816	\$ 13,655,691	2,121	\$ 6,438	4.0	\$ 25,709	\$ 337	\$ <b>26,046</b>

\*Cost build up methodology used; petitions, denial and Supplemental Exam expenses are removed. Supplemental Exam expense are recovered under fees 1826/2826/3826 and 1827/2827/3827. Petitions expenses are captured as part of petitions fees, which can be found in Method I. Total Activity Unit Expense. Denied applicants receive a partial refund and are therefore excluded from the full process cost.

\*\*Included publication expenses and 1.03 - Application Indexing/Scanning; detail can be found in Method I. Total Activity Unit Expense under issue fees and under activity 1.03 respectively.

### 1826/2826/3826 Request for Supplemental Examination

Fiscal Year	Position	Fully Burdened Hourly Rate	Number of Hours Performing Task	Expense Per Position	Subtotal	Additional Activities Expense*	Total Fee Unit Expense
FY15	LIE	\$ 66	5	\$ 312	\$ 6,156	\$ 68	\$ 6,224
	Paralegal	\$ 97	5	\$ 486			
	Supervisory Patent Examiner	\$ 206	9	\$ 1,855			
	CRU Examiner	\$ 206	17	\$ 3,504			
FY14	LIE	\$ 57	5	\$ 272	\$ 5,376	\$ 69	\$ 5,445
	Paralegal	\$ 85	5	\$ 424			
	Supervisory Patent Examiner	\$ 180	9	\$ 1,620			
	CRU Examiner	\$ 180	17	\$ 3,060			
FY13	LIE	\$ 70	5	\$ 331	\$ 5,423	\$ 67	\$ 5,490
	Paralegal	\$ 94	5	\$ 469			
	Supervisory Patent Examiner	\$ 178	9	\$ 1,600			
	CRU Examiner	\$ 178	17	\$ 3,023			

\* Includes activity 1.03 - *Application Indexing/Scanning* ; detail can be found in under Method I. Total Activity Unit Expense under activity 1.03.

### 1827/2827/3827 Reexamination Ordered as a Result of Supplemental Examination

Fiscal Year	Subtotal Fee Unit EPR Expense*	Remove Order Expense**	Subtotal	SE Adjustment Factor Increase	Subtotal	Processing Activities Expense***	SE Fee Unit Expense
FY15	\$ 22,906	\$ 4,545	\$ 18,361	20%	\$ 22,034	\$ 314	\$ 22,347
FY14	\$ 28,154	\$ 4,104	\$ 24,050	20%	\$ 28,860	\$ 280	\$ 29,140
FY13	\$ 25,709	\$ 3,986	\$ 21,723	20%	\$ 26,068	\$ 270	\$ 26,338

\*Detail of calculation can be found under fee 1812/2812/3812 Request for Ex Parte Reexamination. Processing Activities Expense from fee 1812/2812/3812 is excluded.

\*\* Cost build up methodology is used. Cost included as part of tasks in fee 1826/2826/3826 Request for Supplemental Examination, so excluded here.

\*\*\* Publication expenses only; detail can be found in Method I. Total Activity Unit Expense under issue fees.

Additional detail for fee unit expenses using the "VI. Incremental Expense" method, as described in SECTION 5: FEE UNIT EXPENSE CALCULATION of the USPTO Fee Setting – Activity Based Information and Patent Fee Unit Expense Methodology document.

Method VI. Incremental Expense -- FY 2015 Fee Unit Expenses

1801/2801/3801 Request for Continued Examination (RCE) - 1st Request (see 37 CFR 1.114)

1809/2809/3809 Filing a Submission After Final Rejection (see 37 CFR 1.129(a))

1810/2810/3810 For Each Additional Invention to be Examined (see 37 CFR 1.129(b))

FY 2015 Cost for Single Application with No Request for Continued Examination

Patent Activity	Activity Expenses	Workload Volume	FY 2015 Activity Unit Expense	Frequency Factor Adjustment	FY 2015 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1	100%	\$ 1
1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39	100%	\$ 39
1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68	100%	\$ 68
1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6	100%	\$ 6
1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31	100%	\$ 31
1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8	100%	\$ 8
2.01 - Tech Support Application Process	\$ 49,059,255	450,009	\$ 109	100%	\$ 109
2.02 - Classification and Assignment by Art Unit	\$ 5,470,963	450,009	\$ 12	100%	\$ 12
2.03 - Analyze New Application	\$ 253,226,635	445,361	\$ 569	97%	\$ 551
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,535,454	90,561	\$ 161	19%	\$ 31
2.05 - Perform Initial Search	\$ 664,944,481	445,361	\$ 1,493	97%	\$ 1,448
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 397,410,577	445,361	\$ 892	97%	\$ 865
2.07 - Consider IDS (Information Disclosure Statement)	\$ 94,355,681	495,768	\$ 190	96%	\$ 182
2.08 - Prepare All Subsequent Actions	\$ 341,309,049	745,410	\$ 458	92%	\$ 421
2.09 - Perform Subsequent Search	\$ 262,798,012	745,410	\$ 353	92%	\$ 324
2.10 - Prepare After Final Response	\$ 56,470,050	93,382	\$ 605	5%	\$ 32
2.11 - Applicant Interviews	\$ 36,893,244	213,906	\$ 172	53%	\$ 92
2.12 - Internal Conferences	\$ 3,124,516	19,654	\$ 159	3%	\$ 5
2.13 - Communications to the Board of Appeals	\$ 12,800,090	9,151	\$ 1,399	2%	\$ 24
<b>Single Application, No RCE - Total</b>					<b>\$ 4,253</b>

FY 2015 Cost for Single Application with One Request for Continued Examination

Patent Activity	Activity Expenses	Workload Volume	FY 2015 Activity Unit Expense	Frequency Factor Adjustment	FY 2015 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1	100%	\$ 1.07
1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39	100%	\$ 39.40
1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68	100%	\$ 67.60
1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6	100%	\$ 5.75
1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1	100%	\$ 1.24
1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31	100%	\$ 30.85
1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8	100%	\$ 7.85
2.01 - Tech Support Application Process	\$ 49,059,255	450,009	\$ 109	100%	\$ 109.02
2.02 - Classification and Assignment by Art Unit	\$ 5,470,963	450,009	\$ 12	100%	\$ 12.16
2.03 - Analyze New Application	\$ 253,226,635	445,361	\$ 569	100%	\$ 568.59
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,535,454	90,561	\$ 161	26%	\$ 42.52
2.05 - Perform Initial Search	\$ 664,944,481	445,361	\$ 1,493	100%	\$ 1,493.05
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 397,410,577	445,361	\$ 892	100%	\$ 892.33
2.07 - Consider IDS (Information Disclosure Statement)	\$ 94,355,681	495,768	\$ 190	161%	\$ 306.40
2.08 - Prepare All Subsequent Actions	\$ 341,309,049	745,410	\$ 458	281%	\$ 1,286.14
2.09 - Perform Subsequent Search	\$ 262,798,012	745,410	\$ 353	281%	\$ 990.29
2.10 - Prepare After Final Response	\$ 56,470,050	93,382	\$ 605	46%	\$ 277.97
2.11 - Applicant Interviews	\$ 36,893,244	213,906	\$ 172	141%	\$ 242.95
2.12 - Internal Conferences	\$ 3,124,516	19,654	\$ 159	7%	\$ 11.92
2.13 - Communications to the Board of Appeals	\$ 12,800,090	9,151	\$ 1,399	4%	\$ 52.35
<b>Single Application, One RCE - Total</b>					<b>\$ 6,439</b>

In fiscal year 2015, the Cost of Single Application, No RCE is \$4,253. The Cost of Single Application, One RCE is \$6,439. The difference between the two unit costs is \$2,187, which equals the Incremental Cost of an RCE.

1820/2820/3820 Request for Continued Examination (RCE) - 2nd and Subsequent Request (see 37 CFR 1.114)

**FY 2015 Cost for Single Application with Two RCEs, Prior to Second RCE**

Patent Activity	Activity Expenses	Workload Volume	FY 2015 Activity Unit Expense	Frequency Factor Adjustment	FY 2015 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1	100%	\$ 1
1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39	100%	\$ 39
1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68	100%	\$ 68
1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6	100%	\$ 6
1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31	100%	\$ 31
1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8	100%	\$ 8
2.01 - Tech Support Application Process	\$ 49,059,255	450,009	\$ 109	100%	\$ 109
2.02 - Classification and Assignment by Art Unit	\$ 5,470,963	450,009	\$ 12	100%	\$ 12
2.03 - Analyze New Application	\$ 253,226,635	445,361	\$ 569	100%	\$ 569
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,535,454	90,561	\$ 161	25%	\$ 41
2.05 - Perform Initial Search	\$ 664,944,481	445,361	\$ 1,493	100%	\$ 1,493
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 397,410,577	445,361	\$ 892	100%	\$ 892
2.07 - Consider IDS (Information Disclosure Statement)	\$ 94,355,681	495,768	\$ 190	193%	\$ 367
2.08 - Prepare All Subsequent Actions	\$ 341,309,049	745,410	\$ 458	308%	\$ 1,412
2.09 - Perform Subsequent Search	\$ 262,798,012	745,410	\$ 353	308%	\$ 1,088
2.10 - Prepare After Final Response	\$ 56,470,050	93,382	\$ 605	73%	\$ 442
2.11 - Applicant Interviews	\$ 36,893,244	213,906	\$ 172	125%	\$ 215
2.12 - Internal Conferences	\$ 3,124,516	19,654	\$ 159	8%	\$ 12
2.13 - Communications to the Board of Appeals	\$ 12,800,090	9,151	\$ 1,399	3%	\$ 45
<b>Single Application, No RCE - Total</b>					<b>\$ 6,851</b>

**FY 2015 Cost for Single Application with Two RCEs, After Second RCE**

Patent Activity	Activity Expenses	Workload Volume	FY 2015 Activity Unit Expense	Frequency Factor Adjustment	FY 2015 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 724,147	676,025	\$ 1	100%	\$ 1
1.02 - Process Application Fees	\$ 26,635,133	676,025	\$ 39	100%	\$ 39
1.03 - Application Indexing/Scanning	\$ 45,722,309	676,329	\$ 68	100%	\$ 68
1.04 - Routing Classification/Security Screening	\$ 3,881,867	674,542	\$ 6	100%	\$ 6
1.05 - Second-level Security Screening and L&R Processing	\$ 727,244	588,088	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 16,468,508	533,894	\$ 31	100%	\$ 31
1.07 - Customer Service (Pre- and Post-Examination)	\$ 4,862,303	619,703	\$ 8	100%	\$ 8
2.01 - Tech Support Application Process	\$ 49,059,255	450,009	\$ 109	100%	\$ 109
2.02 - Classification and Assignment by Art Unit	\$ 5,470,963	450,009	\$ 12	100%	\$ 12
2.03 - Analyze New Application	\$ 253,226,635	445,361	\$ 569	100%	\$ 569
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,535,454	90,561	\$ 161	26%	\$ 41
2.05 - Perform Initial Search	\$ 664,944,481	445,361	\$ 1,493	100%	\$ 1,493
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 397,410,577	445,361	\$ 892	100%	\$ 892
2.07 - Consider IDS (Information Disclosure Statement)	\$ 94,355,681	495,768	\$ 190	213%	\$ 405
2.08 - Prepare All Subsequent Actions	\$ 341,309,049	745,410	\$ 458	469%	\$ 2,145
2.09 - Perform Subsequent Search	\$ 262,798,012	745,410	\$ 353	469%	\$ 1,652
2.10 - Prepare After Final Response	\$ 56,470,050	93,382	\$ 605	78%	\$ 473
2.11 - Applicant Interviews	\$ 36,893,244	213,906	\$ 172	193%	\$ 334
2.12 - Internal Conferences	\$ 3,124,516	19,654	\$ 159	14%	\$ 22
2.13 - Communications to the Board of Appeals	\$ 12,800,090	9,151	\$ 1,399	7%	\$ 91
<b>Single Application, One RCE - Total</b>					<b>\$ 8,391</b>

In fiscal year 2015, the Cost for Single Application with Two RCEs, Prior to Second RCE is \$6,851. The Cost for Single Application with Two RCEs, After Second RCE is \$8,391. The difference between the two unit costs is \$1,540, which equals the Incremental Cost of a Second and Subsequent RCE.

Method VI. Incremental Expense -- FY 2014 Fee Unit Expenses

1801/2801/3801 Request for Continued Examination (RCE) - 1st Request (see 37 CFR 1.114)

1809/2809/3809 Filing a Submission After Final Rejection (see 37 CFR 1.129(a))

1810/2810/3810 For Each Additional Invention to be Examined (see 37 CFR 1.129(b))

FY 2014 Cost for Single Application with No Request for Continued Examination

Patent Activity	Activity Expenses	Workload Volume	FY 2014 Activity Unit Expense	Frequency Factor Adjustment	FY 2014 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2	100%	\$ 2
1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36	100%	\$ 36
1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69	100%	\$ 69
1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4	100%	\$ 4
1.05 - Second-level Security Screening and L&R Processing	\$ 555,475	593,435	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27	100%	\$ 27
1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5	100%	\$ 5
2.01 - Tech Support Application Process	\$ 38,593,407	443,496	\$ 87	100%	\$ 87
2.02 - Classification and Assignment by Art Unit	\$ 7,008,343	443,496	\$ 16	100%	\$ 16
2.03 - Analyze New Application	\$ 227,563,738	371,313	\$ 613	97%	\$ 597
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 12,391,834	80,542	\$ 154	22%	\$ 33
2.05 - Perform Initial Search	\$ 650,412,292	371,313	\$ 1,752	97%	\$ 1,705
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 344,249,727	371,313	\$ 927	97%	\$ 902
2.07 - Consider IDS (Information Disclosure Statement)	\$ 86,170,569	492,668	\$ 175	96%	\$ 168
2.08 - Prepare All Subsequent Actions	\$ 311,300,232	744,076	\$ 418	96%	\$ 402
2.09 - Perform Subsequent Search	\$ 243,857,423	744,076	\$ 328	96%	\$ 315
2.10 - Prepare After Final Response	\$ 46,394,807	94,865	\$ 489	6%	\$ 30
2.11 - Applicant Interviews	\$ 32,985,263	372,584	\$ 89	47%	\$ 41
2.12 - Internal Conferences	\$ 3,325,683	22,070	\$ 151	3%	\$ 5
2.13 - Communications to the Board of Appeals	\$ 10,339,590	10,884	\$ 950	2%	\$ 15
<b>Single Application, No RCE - Total</b>					<b>\$ 4,462</b>

FY 2014 Cost for Single Application with One Request for Continued Examination

Patent Activity	Activity Expenses	Workload Volume	FY 2014 Activity Unit Expense	Frequency Factor Adjustment	FY 2014 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2	100%	\$ 1.60
1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36	100%	\$ 36.45
1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69	100%	\$ 68.75
1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4	100%	\$ 3.62
1.05 - Second-level Security Screening and L&R Processing	\$ 555,475	593,435	\$ 1	100%	\$ 0.94
1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27	100%	\$ 27.19
1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5	100%	\$ 5.42
2.01 - Tech Support Application Process	\$ 38,593,407	443,496	\$ 87	100%	\$ 87.02
2.02 - Classification and Assignment by Art Unit	\$ 7,008,343	443,496	\$ 16	100%	\$ 15.80
2.03 - Analyze New Application	\$ 227,563,738	371,313	\$ 613	100%	\$ 612.86
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 12,391,834	80,542	\$ 154	25%	\$ 38.63
2.05 - Perform Initial Search	\$ 650,412,292	371,313	\$ 1,752	100%	\$ 1,751.64
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 344,249,727	371,313	\$ 927	100%	\$ 927.11
2.07 - Consider IDS (Information Disclosure Statement)	\$ 86,170,569	492,668	\$ 175	158%	\$ 276.20
2.08 - Prepare All Subsequent Actions	\$ 311,300,232	744,076	\$ 418	273%	\$ 1,142.10
2.09 - Perform Subsequent Search	\$ 243,857,423	744,076	\$ 328	273%	\$ 894.66
2.10 - Prepare After Final Response	\$ 46,394,807	94,865	\$ 489	41%	\$ 202.62
2.11 - Applicant Interviews	\$ 32,985,263	372,584	\$ 89	110%	\$ 97.58
2.12 - Internal Conferences	\$ 3,325,683	22,070	\$ 151	8%	\$ 11.52
2.13 - Communications to the Board of Appeals	\$ 10,339,590	10,884	\$ 950	4%	\$ 36.54
<b>Single Application, One RCE - Total</b>					<b>\$ 6,238</b>

In fiscal year 2014, the Cost of Single Application, No RCE is \$4,462. The Cost of Single Application, One RCE is \$6,238. The difference between the two unit costs is \$1,776, which equals the Incremental Cost of an RCE.

1820/2820/3820 Request for Continued Examination (RCE) - 2nd and Subsequent Request (see 37 CFR 1.114)

**FY 2014 Cost for Single Application with Two RCEs, Prior to Second RCE**

Patent Activity	Activity Expenses	Workload Volume	FY 2014 Activity Unit Expense	Frequency Factor Adjustment	FY 2014 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2	100%	\$ 2
1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36	100%	\$ 36
1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69	100%	\$ 69
1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4	100%	\$ 4
1.05 - Second-level Security Screening and L&R Processing	\$ 555,475	593,435	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27	100%	\$ 27
1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5	100%	\$ 5
2.01 - Tech Support Application Process	\$ 38,593,407	443,496	\$ 87	100%	\$ 87
2.02 - Classification and Assignment by Art Unit	\$ 7,008,343	443,496	\$ 16	100%	\$ 16
2.03 - Analyze New Application	\$ 227,563,738	371,313	\$ 613	100%	\$ 613
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 12,391,834	80,542	\$ 154	25%	\$ 38
2.05 - Perform Initial Search	\$ 650,412,292	371,313	\$ 1,752	100%	\$ 1,752
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 344,249,727	371,313	\$ 927	100%	\$ 927
2.07 - Consider IDS (Information Disclosure Statement)	\$ 86,170,569	492,668	\$ 175	189%	\$ 331
2.08 - Prepare All Subsequent Actions	\$ 311,300,232	744,076	\$ 418	312%	\$ 1,305
2.09 - Perform Subsequent Search	\$ 243,857,423	744,076	\$ 328	312%	\$ 1,022
2.10 - Prepare After Final Response	\$ 46,394,807	94,865	\$ 489	68%	\$ 333
2.11 - Applicant Interviews	\$ 32,985,263	372,584	\$ 89	93%	\$ 82
2.12 - Internal Conferences	\$ 3,325,683	22,070	\$ 151	9%	\$ 14
2.13 - Communications to the Board of Appeals	\$ 10,339,590	10,884	\$ 950	4%	\$ 36
<b>Single Application, No RCE - Total</b>					<b>\$ 6,699</b>

**FY 2014 Cost for Single Application with Two RCEs, After Second RCE**

Patent Activity	Activity Expenses	Workload Volume	FY 2014 Activity Unit Expense	Frequency Factor Adjustment	FY 2014 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,076,914	674,022	\$ 2	100%	\$ 2
1.02 - Process Application Fees	\$ 24,570,662	674,022	\$ 36	100%	\$ 36
1.03 - Application Indexing/Scanning	\$ 46,366,269	674,440	\$ 69	100%	\$ 69
1.04 - Routing Classification/Security Screening	\$ 2,433,703	672,737	\$ 4	100%	\$ 4
1.05 - Second-level Security Screening and L&R Processing	\$ 555,475	593,435	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 14,505,997	533,496	\$ 27	100%	\$ 27
1.07 - Customer Service (Pre- and Post-Examination)	\$ 3,321,111	612,288	\$ 5	100%	\$ 5
2.01 - Tech Support Application Process	\$ 38,593,407	443,496	\$ 87	100%	\$ 87
2.02 - Classification and Assignment by Art Unit	\$ 7,008,343	443,496	\$ 16	100%	\$ 16
2.03 - Analyze New Application	\$ 227,563,738	371,313	\$ 613	100%	\$ 613
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 12,391,834	80,542	\$ 154	25%	\$ 38
2.05 - Perform Initial Search	\$ 650,412,292	371,313	\$ 1,752	100%	\$ 1,752
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 344,249,727	371,313	\$ 927	100%	\$ 927
2.07 - Consider IDS (Information Disclosure Statement)	\$ 86,170,569	492,668	\$ 175	210%	\$ 367
2.08 - Prepare All Subsequent Actions	\$ 311,300,232	744,076	\$ 418	467%	\$ 1,956
2.09 - Perform Subsequent Search	\$ 243,857,423	744,076	\$ 328	467%	\$ 1,532
2.10 - Prepare After Final Response	\$ 46,394,807	94,865	\$ 489	73%	\$ 355
2.11 - Applicant Interviews	\$ 32,985,263	372,584	\$ 89	151%	\$ 134
2.12 - Internal Conferences	\$ 3,325,683	22,070	\$ 151	15%	\$ 22
2.13 - Communications to the Board of Appeals	\$ 10,339,590	10,884	\$ 950	7%	\$ 67
<b>Single Application, One RCE - Total</b>					<b>\$ 8,009</b>

In fiscal year 2014, the Cost for Single Application with Two RCEs, Prior to Second RCE is \$6,699. The Cost for Single Application with Two RCEs, After Second RCE is \$8,009. The difference between the two unit costs is \$1,310, which equals the Incremental Cost of a Second and Subsequent RCE.

Method VI. Incremental Expense -- FY 2013 Fee Unit Expenses

1801/2801/3801 Request for Continued Examination (RCE) - 1st Request (see 37 CFR 1.114)  
 1809/2809/3809 Filing a Submission After Final Rejection (see 37 CFR 1.129(a))  
 1810/2810/3810 For Each Additional Invention to be Examined (see 37 CFR 1.129(b))

FY 2013 Cost for Single Application with No Request for Continued Examination

Patent Activity	Activity Expenses	Workload Volume	FY 2013 Activity Unit Expense	Frequency Factor Adjustment	FY 2013 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2	100%	\$ 2
1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36	100%	\$ 36
1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67	100%	\$ 67
1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3	100%	\$ 3
1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39	100%	\$ 39
1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4	100%	\$ 4
2.01 - Tech Support Application Process	\$ 37,028,344	437,537	\$ 85	100%	\$ 85
2.02 - Classification and Assignment by Art Unit	\$ 7,522,878	437,537	\$ 17	100%	\$ 17
2.03 - Analyze New Application	\$ 211,871,954	415,522	\$ 510	97%	\$ 494
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,251,290	97,538	\$ 146	22%	\$ 33
2.05 - Perform Initial Search	\$ 571,049,948	415,522	\$ 1,374	97%	\$ 1,331
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 336,887,728	415,522	\$ 811	97%	\$ 785
2.07 - Consider IDS (Information Disclosure Statement)	\$ 84,646,457	517,582	\$ 164	91%	\$ 148
2.08 - Prepare All Subsequent Actions	\$ 307,870,543	699,091	\$ 440	90%	\$ 396
2.09 - Perform Subsequent Search	\$ 211,276,155	699,091	\$ 302	90%	\$ 272
2.10 - Prepare After Final Response	\$ 45,897,515	80,154	\$ 573	6%	\$ 32
2.11 - Applicant Interviews	\$ 27,462,416	264,271	\$ 104	25%	\$ 26
2.12 - Internal Conferences	\$ 3,062,454	21,257	\$ 144	33%	\$ 47
2.13 - Communications to the Board of Appeals	\$ 11,526,109	10,867	\$ 1,061	2%	\$ 19
<b>Single Application, No RCE - Total</b>					<b>\$ 3,838</b>

FY 2013 Cost for Single Application with One Request for Continued Examination

Patent Activity	Activity Expenses	Workload Volume	FY 2013 Activity Unit Expense	Frequency Factor Adjustment	FY 2013 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2	100%	\$ 1.83
1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36	100%	\$ 35.52
1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67	100%	\$ 66.81
1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3	100%	\$ 3.03
1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1	100%	\$ 1.22
1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39	100%	\$ 39.29
1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4	100%	\$ 4.23
2.01 - Tech Support Application Process	\$ 37,028,344	437,537	\$ 85	100%	\$ 84.63
2.02 - Classification and Assignment by Art Unit	\$ 7,522,878	437,537	\$ 17	100%	\$ 17.19
2.03 - Analyze New Application	\$ 211,871,954	415,522	\$ 510	100%	\$ 509.89
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,251,290	97,538	\$ 146	24%	\$ 34.48
2.05 - Perform Initial Search	\$ 571,049,948	415,522	\$ 1,374	100%	\$ 1,374.28
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 336,887,728	415,522	\$ 811	100%	\$ 810.75
2.07 - Consider IDS (Information Disclosure Statement)	\$ 84,646,457	517,582	\$ 164	151%	\$ 246.44
2.08 - Prepare All Subsequent Actions	\$ 307,870,543	699,091	\$ 440	274%	\$ 1,204.53
2.09 - Perform Subsequent Search	\$ 211,276,155	699,091	\$ 302	274%	\$ 826.61
2.10 - Prepare After Final Response	\$ 45,897,515	80,154	\$ 573	38%	\$ 219.96
2.11 - Applicant Interviews	\$ 27,462,416	264,271	\$ 104	64%	\$ 66.52
2.12 - Internal Conferences	\$ 3,062,454	21,257	\$ 144	69%	\$ 99.72
2.13 - Communications to the Board of Appeals	\$ 11,526,109	10,867	\$ 1,061	5%	\$ 55.35
<b>Single Application, One RCE - Total</b>					<b>\$ 5,702</b>

In fiscal year 2013, the Cost of Single Application, No RCE is \$3,838. The Cost of Single Application, One RCE is \$5,702. The difference between the two unit costs is \$1,864, which equals the Incremental Cost of an RCE.

1820/2820/3820 Request for Continued Examination (RCE) - 2nd and Subsequent Request (see 37 CFR 1.114)

**FY 2013 Cost for Single Application with Two RCEs, Prior to Second RCE**

Patent Activity	Activity Expenses	Workload Volume	FY 2013 Activity Unit Expense	Frequency Factor Adjustment	FY 2013 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2	100%	\$ 2
1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36	100%	\$ 36
1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67	100%	\$ 67
1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3	100%	\$ 3
1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39	100%	\$ 39
1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4	100%	\$ 4
2.01 - Tech Support Application Process	\$ 37,028,344	437,537	\$ 85	100%	\$ 85
2.02 - Classification and Assignment by Art Unit	\$ 7,522,878	437,537	\$ 17	100%	\$ 17
2.03 - Analyze New Application	\$ 211,871,954	415,522	\$ 510	100%	\$ 510
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,251,290	97,538	\$ 146	24%	\$ 36
2.05 - Perform Initial Search	\$ 571,049,948	415,522	\$ 1,374	100%	\$ 1,374
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 336,887,728	415,522	\$ 811	100%	\$ 811
2.07 - Consider IDS (Information Disclosure Statement)	\$ 84,646,457	517,582	\$ 164	181%	\$ 296
2.08 - Prepare All Subsequent Actions	\$ 307,870,543	699,091	\$ 440	305%	\$ 1,343
2.09 - Perform Subsequent Search	\$ 211,276,155	699,091	\$ 302	305%	\$ 922
2.10 - Prepare After Final Response	\$ 45,897,515	80,154	\$ 573	63%	\$ 362
2.11 - Applicant Interviews	\$ 27,462,416	264,271	\$ 104	69%	\$ 71
2.12 - Internal Conferences	\$ 3,062,454	21,257	\$ 144	8%	\$ 12
2.13 - Communications to the Board of Appeals	\$ 11,526,109	10,867	\$ 1,061	4%	\$ 38
<b>Single Application, No RCE - Total</b>					<b>\$ 6,027</b>

**FY 2013 Cost for Single Application with Two RCEs, After Second RCE**

Patent Activity	Activity Expenses	Workload Volume	FY 2013 Activity Unit Expense	Frequency Factor Adjustment	FY 2013 Final Adjusted Unit Cost
1.01 - Process Incoming Paper	\$ 1,229,177	673,004	\$ 2	100%	\$ 2
1.02 - Process Application Fees	\$ 23,908,034	673,004	\$ 36	100%	\$ 36
1.03 - Application Indexing/Scanning	\$ 44,988,239	673,355	\$ 67	100%	\$ 67
1.04 - Routing Classification/Security Screening	\$ 2,032,051	671,090	\$ 3	100%	\$ 3
1.05 - Second-level Security Screening and L&R Processing	\$ 725,335	595,803	\$ 1	100%	\$ 1
1.06 - Conduct Formalities Review	\$ 21,221,631	540,118	\$ 39	100%	\$ 39
1.07 - Customer Service (Pre- and Post-Examination)	\$ 2,604,409	614,990	\$ 4	100%	\$ 4
2.01 - Tech Support Application Process	\$ 37,028,344	437,537	\$ 85	100%	\$ 85
2.02 - Classification and Assignment by Art Unit	\$ 7,522,878	437,537	\$ 17	100%	\$ 17
2.03 - Analyze New Application	\$ 211,871,954	415,522	\$ 510	100%	\$ 510
2.04 - Analyze New Application - Restrictions/Unity of Invention	\$ 14,251,290	97,538	\$ 146	25%	\$ 36
2.05 - Perform Initial Search	\$ 571,049,948	415,522	\$ 1,374	100%	\$ 1,374
2.06 - Prepare Initial FAOM (excluding RCEs)	\$ 336,887,728	415,522	\$ 811	100%	\$ 811
2.07 - Consider IDS (Information Disclosure Statement)	\$ 84,646,457	517,582	\$ 164	202%	\$ 330
2.08 - Prepare All Subsequent Actions	\$ 307,870,543	699,091	\$ 440	460%	\$ 2,027
2.09 - Perform Subsequent Search	\$ 211,276,155	699,091	\$ 302	460%	\$ 1,391
2.10 - Prepare After Final Response	\$ 45,897,515	80,154	\$ 573	68%	\$ 392
2.11 - Applicant Interviews	\$ 27,462,416	264,271	\$ 104	106%	\$ 111
2.12 - Internal Conferences	\$ 3,062,454	21,257	\$ 144	16%	\$ 23
2.13 - Communications to the Board of Appeals	\$ 11,526,109	10,867	\$ 1,061	9%	\$ 91
<b>Single Application, One RCE - Total</b>					<b>\$ 7,350</b>

In fiscal year 2013, the Cost for Single Application with Two RCEs, Prior to Second RCE is \$6,027. The Cost for Single Application with Two RCEs, After Second RCE is \$7,350. The difference between the two unit costs is \$1,322, which equals the Incremental Cost of a Second and Subsequent RCE.